

CLASS MATERIALS

Realignment 101

The Basics of 1991 and 2011 Realignments

Diane Cummins Andrew Pease
Robert Manchia Bruce Wagstaff
Geoff Neil

CI 307

**CSAC REALIGNMENT 101
Hand-Out List**

1. Agenda
2. Realignment 101 – PowerPoint Presentation
3. Realignment Resource List
4. LAO - Components of State and Local Program Realignment
5. 1991 Realignment MOE requirements
6. Prop 172 & Realignment Tracking (Sales Tax)
7. Sales Tax & VLF Realignment Tracking
8. 1991 Realignment Social Services Theory vs Reality

CSAC REALIGNMENT 101

“The Basics of 1991 and 2011 Realignment”

Day 1 - 09/27/2018

10:00 am – 4:30 pm

- Introductions
- History, Policy, Politics and People
- 1991 Realignment Structure
- 2011 Realignment Structure
- Group Activity

Day 2 - 09/28/2018

8:30 am – 3:00 pm

- Tools and Models
- Recap - Similarities & Differences
- Outcomes & Opportunities
- Group Activity
- Trends
- The Realigned View
- Discussion & Wrap-up

Presenters

- Diane Cummins –
Special Advisor to the Governor
- Robert Manchia, San Mateo – County
Manager’s Office
- Andrew Pease, San Diego – Health &
Human Services
- Bruce Wagstaff, Sacramento – Social
Services
- Geoff Neill, CSAC

What’s It All About?

The State-Local Relationship

Governance
Services
Money

And, outside
influences/pressures

What's It All About?

Governance – State programs administered by counties

Services - Realignment is meant to be a way to improve the ability of the state and counties to serve the citizens

Money - What program couldn't benefit from more money?

The Road To 1991 Realignment

- Proposition 13 and the State Bail-Out
- Property tax rate of 1% - \$6.88 B loss
- State assumes greater funding for schools: transfers property tax to other local governments
- State also changes HHS sharing ratios and provides program money to assist counties

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Detour During the 1980's

- Budget Problems (1981-82 to 1984-85)
- VLF Cuts
- Medically Indigent Health (MIA) transfer
- New Partnership Task Force (1983)
- Stabilize local government funding
- Realign Programs
- Realignment, Restructuring or Disengagement – trade Trial Court costs for AFDC. Didn't happen but there was a benefit.....

1991 – Getting to Yes

- Prior budget reductions to Community Mental Health and Indigent Health programs
- 1990 election of Governor Wilson
- January \$7 billion budget problem – a major recession
- Only two significant discretionary programs – community mental health and indigent health funding (AB 8 Block Grant and MIA programs) proposed for elimination
- Willing to tax? Could realign programs

1991 January Budget Proposal

- Increase alcoholic beverage tax to national average; change VLF depreciation – revenue for Realignment (\$942 million)
- Transfer responsibility /funding to counties for AB 8 block grant, MIA block grant and community mental health (\$942 million)
- Provide counties authority to increase sales tax by ½% for drug enforcement and crime prevention

Politics

- A Different Era
- New Governor but old relationships
- “Big 5” met weekly
- Members knew the programs
- As budget problem grew to \$14 billion, Realignment grew to \$2.2 billion
- Revenue structure changed – no alcohol beverage tax; ½ cent sales tax added
- Added shares of cost in social services programs

Money, Policy, Meeting Needs

- Flexibility for counties – Mental Health
- Policy Changes through fiscal incentives
- Money – 1 account or many
- Who did the allocations and why
- Maintained baseline funding plus growth
- Mandate protection for the State
- Poison pills
 - ❖ Sales tax and Proposition 98
 - ❖ MIA Lawsuits
 - ❖ Mandates in general

Lessons Learned

- Programs realigned may be underfunded
- Growth in revenue may not cover increased costs
- New legislation costs money
- Federal or state government can change requirements
- Poison pills limit the policy discussion
- Generally considered a “success”

If Successful, Why Wait 20 Years?

- 1994 – Community-Based Punishment Act
- 1997 – State Trial Court Funding (initial steps in 1988)
- 1997 – CYA Sliding Scale
- 2007 – Juvenile Justice Realignment
- 2009 – Felony Probation (SB 678) BUT

- Lawsuits regarding state prison overcrowding
- No overcrowding solution

A New Governor With Motivation

- Build on previous success
- Move government closer to the people
- More flexibility/accountability at local level
- Interconnected programs together
- Focus on core services/improve services
- Clarify state/local roles – reduce duplication
- Help address budget gap of \$26.6 billion
- Federal 3-Judge panel on prison overcrowding

What Did the Governor Want?

Constitutional Amendment for June ballot – a 2/3 vote

- Extension of \$5.9 billion in temporary taxes
- 5 year temporary tax for Realignment with an on-going guarantee
- Protections for both the state and counties
- Why a Constitutional Amendment?

2011 Public Safety Proposal

- Public Safety defined broadly (\$5.9 billion)
 - Child Welfare and Foster Care
 - Behavioral Health – EPSDT and SUD
 - Adult Protective Services
 - Court security
 - Law enforcement subventions
 - Juvenile justice programs
 - Community Corrections Program (AB 109)
 - 1991 Mental Health Funding to CalWORKs MOE

Who Else Was Involved?

- Counties
- Health and Human Services interests
- The Legislature
- California Department of Corrections
- Law Enforcement (Sheriffs, Probation, DAs, Police Chiefs)
- Criminologists
- Other interests

What Happened?

- AB 109 enacted in March, 2011
- No vote on a Constitutional Amendment
- 2011 Budget Act (June) included the entire Public Safety Realignment package
- Funded with 1.0625 cent state special fund sales tax and certain VLF funds – reduced GF sales tax by the same amount
- Agreement to delay AB 109 until October 1

Why and How?

- Governor committed to Constitutional protections – Prop 30 - November, 2012
- Benefits to children’s programs - \$200 million for Child Welfare Services
- Mental Health Funding protected
- Possibility of additional growth funding
- Saved General Fund Prop 98 costs
- No other major solution for 3 Judge Panel
- Majority Vote Budget

But It Didn’t End There

- Change builds on previous Realignment actions
- 2013 Health Care Reform – move money from 1991 Health Account to new Family Support Account
 - CCI Initiative and IHSS “savings” due to MOE to fund new Child Poverty Account - CalWORKs COLAs and Maximum Family Grant
 - Pulling the trigger on CCI
 - Revised MOE structure and changes to 1991 Realignment

Why Is It So Complicated?

- Can't bring Realignment money to the state level – necessitates moving money around within 1991 Realignment
- Trying to avoid use of General Fund in case of a downturn
- People want things and if something is done once, it's easier to do it a second time

What Are the Similarities?

- State Budget crisis
- The threat of something worse
- Governor who knew what he was willing to do
- Given a dollar amount to work with
- Seemed to make sense
- Counties being flexible and willing to solve a problem
- Relationships
- Other?

What is 1991 Realignment

- Change in State and County Relationship
 - A “realignment” of program responsibilities
 - Transfer of financial liability & administrative authority
- Goal
 - Mitigate State revenue gap
 - Give counties greater funding stability
 - Create an incentive to counties to operate programs with greater efficiency and effectiveness

27

1991 Realignment

- 1991 Realignment (W&I Code 17600-17613.4)
 - Health
 - Mental Health
 - Social Services
- 1991 Realignment ½ cent sales tax
 - Appx. 75% vehicle license fees
 - Rolling Base

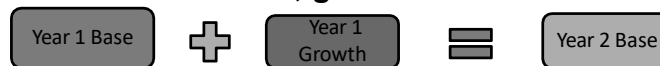
28

1991 Realignment “Rolling Base”

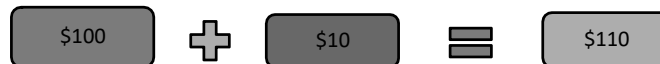
- “Rolling” Base: Base funding + Growth funding = Next Fiscal Year’s Base
- No base restoration – if base funding level is not met in any fiscal year then next fiscal year’s base starts out lower

1991 Realignment Base Determination:

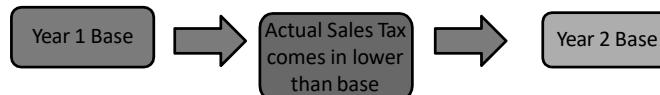
Base met, growth available



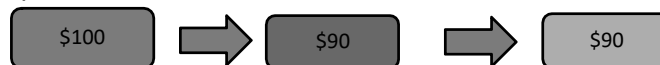
Example



Base not met, growth unavailable

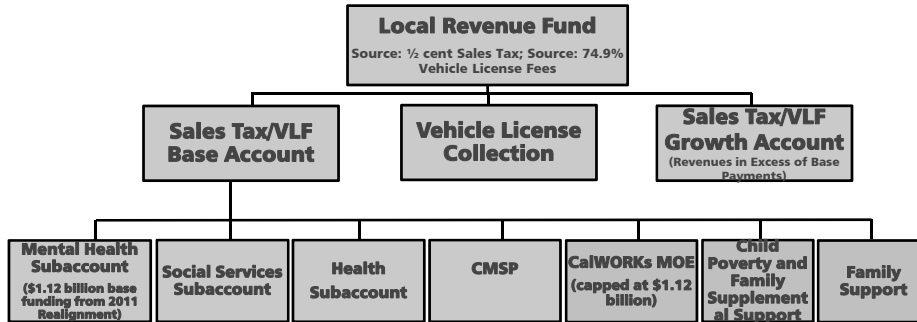


Example





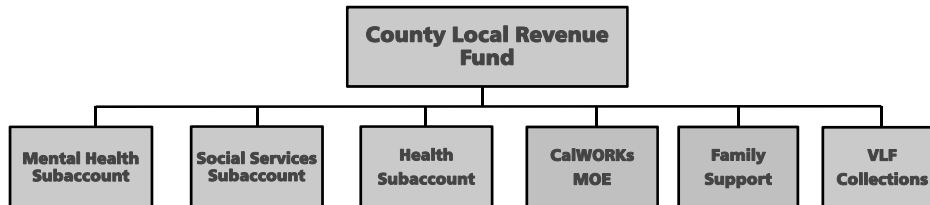
1991 Realignment Structure State



If CalWORKs has reached cap, funds in excess go to Mental Health



1991 Realignment Structure Local



Health Realignment: 1991

Public Health

- ✓ AB 8: public/indigent health block grant post-Prop 13
- ✓ Local Health Services: public health staff in small/rural counties
- ✓ California Children Services (CCS) – Seriously ill or injured children
 - Folded in to Social Services – caseload driven

Indigent Health Care (MISP/CMSP)

- ✓ Medically Indigent Services Program – large counties
- ✓ County Medical Services Program – small counties

1991 Mental Health Realignment

Community-based Mental Health Programs

State Hospital Services for County Patients

Institutions for Mental Diseases (IMDs)

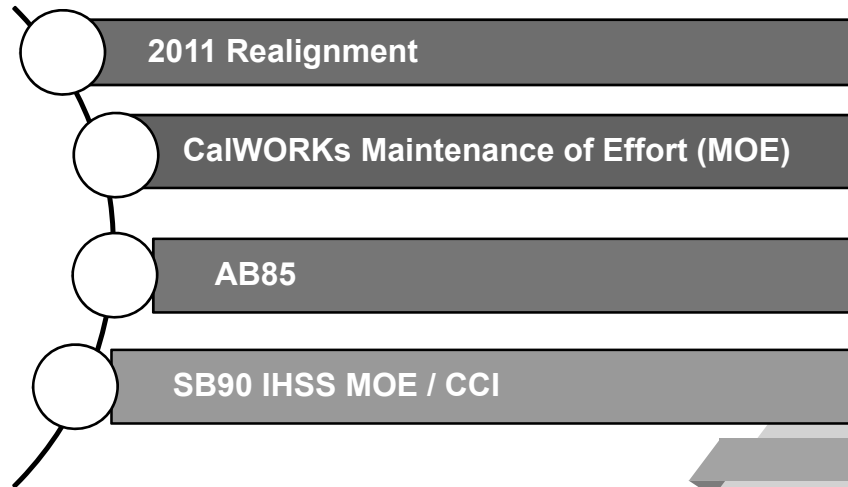
1991 Social Services Realignment



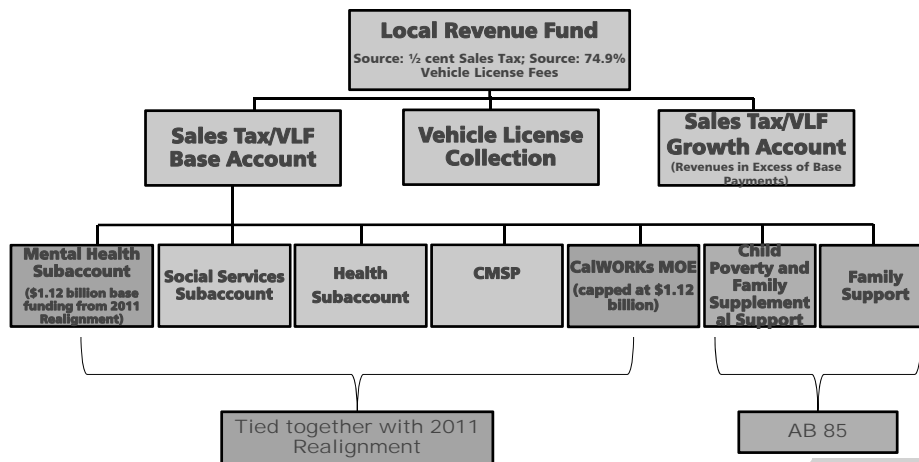
Components of State and Local Program Realignment

(in millions)				
Transferred Programs			COSTS SHIFTED TO COUNTIES	
<i>Mental Health</i>			\$750	
•	Community-based Mental Health Programs ^a		452	
•	State Hospital Services for County Patients		210	
•	Institutions for Mental Diseases (IMDs)		88	
<i>Public Health</i>			\$506	
•	AB 8 County Health Services		503	
•	Local Health Services (LHS)		3	
<i>Indigent Health</i>			\$435	
•	Medically Indigent Services Program (MISP) ^b		348	
•	County Medical Services Program (CMSP)		87	
<i>Local Block Grants</i>			\$52	
•	County Stabilization Subventions		15	
•	County Juvenile Justice Subventions		37	
County Cost-Sharing Ratio Changes			COSTS SHIFTED TO COUNTIES	
<i>Health</i>				
•	California Children's Services	75 / 25	50 / 50	\$30
<i>Social Services</i>				
•	AFDC - Foster Care (AFDC-FC) ^d	95 / 5	40 / 60	363
•	Child Welfare Services (CWS) ^e	76 / 24	70 / 30	42
•	In-Home Supportive Services (IHSS) ^f	97 / 3	65 / 35	235
•	County Services Block Grant (CSBG) ^g	84 / 16	70 / 30	13
•	Adoption Assistance Program	100 / 0	75 / 25	12
•	Greater Avenues for Independence (GAIN) Program	100 / 0	70 / 30	26
•	AFDC - Family Group and Unemployed Parent (AFDC FG & U)	89 / 11	95 / 5	-155
•	County Administration (AFDC-FC, FG, U, foodstamps)	50 / 50	70 / 30	-95
Net Additional County Expenditures			\$2,212	

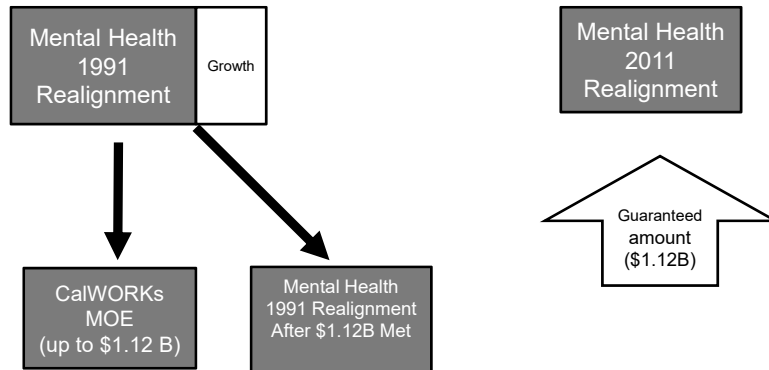
Major Changes Impacting 1991 Realignment



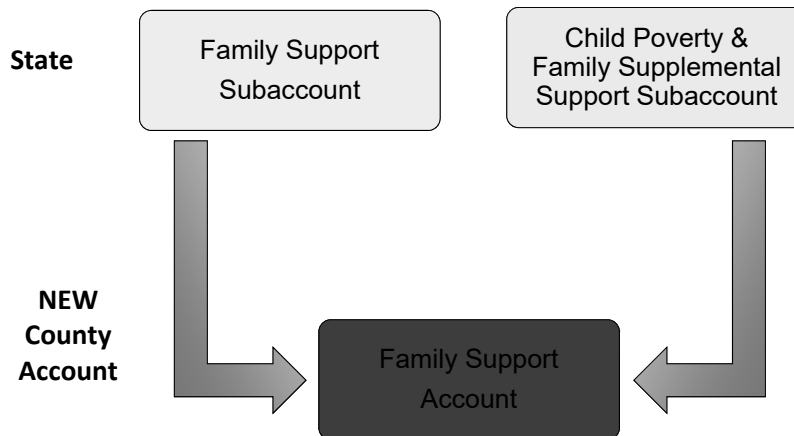
1991 Realignment Structure State



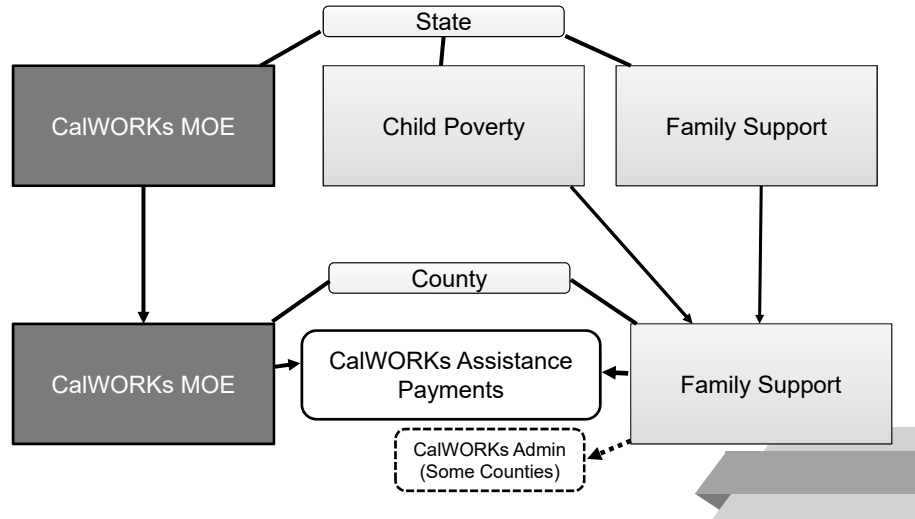
Mental Health to CalWORKs MOE



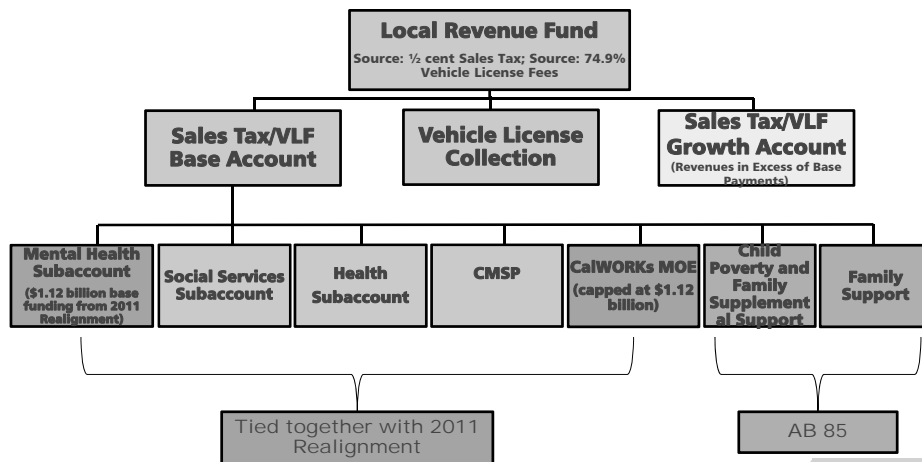
AB 85: State to County Transfer



CalWORKs MOE - Assistance

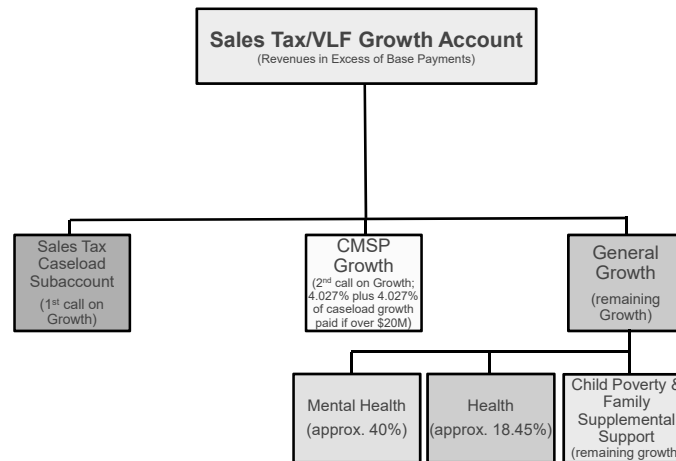


1991 Realignment Structure State





1991 Realignment Structure Growth



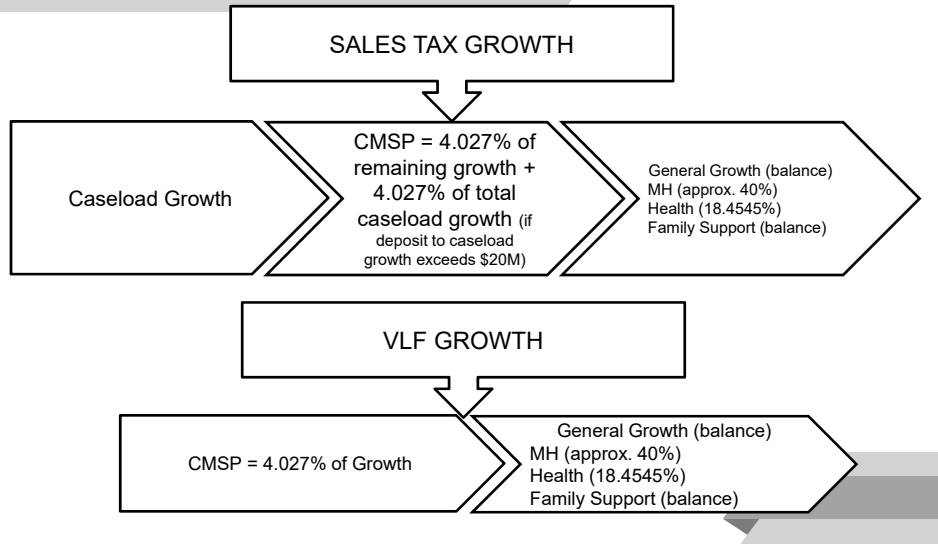
43

1991 Realignment Sales Tax Caseload Subaccount

- Reflects mandated growth in Social Services programs
- Amount based on program expenditures, not caseload (two year process)
- Calculation based on change in County cost due to mandated cost increases (i.e. growth in caseload)
- Determined by comparison of County-specific costs from two years ago compared to last year
- Increased costs generally = more caseload growth



1991 Realignment Growth Order Flow



SB 90 Changes to 1991 Realignment

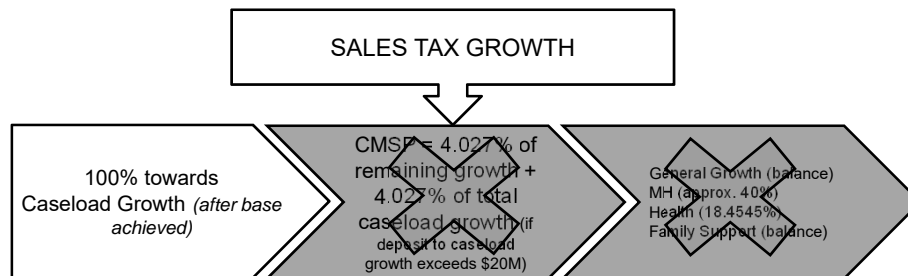
- SB 90 established a revised IHSS MOE in FY 17/18 and included the following:
 - A committed amount of one-time State General funds to counties for several years
 - An Accelerated flow of Social Services Realignment
 - Temporarily redirected VLF Realignment from the Family Support, Health, & Mental Health Subaccounts



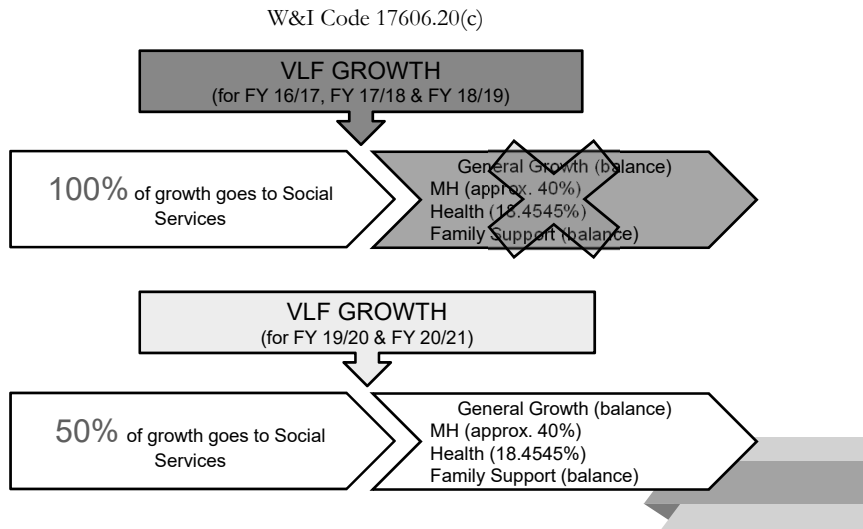
1991 Realignment Growth Flow (SB 90)

- It is projected that 100% of sales tax growth will go towards caseload growth for the foreseeable future
- Growth for IHSS caseload will be advanced prospectively and not after costs incurred (no two year wait)
 - Final reconciliation for IHSS caseload growth in 2 years to capture bargaining and any other net unfunded costs
- 2-year caseload growth process continues for non-IHSS Social Services programs
- For three years, 100% of VLF growth for Family Support subaccount, and the Mental Health and Health subaccounts will be redirected to cover IHSS costs when sales tax realignment is insufficient
- In the fourth and fifth year, 50% of VLF growth for Family Support subaccount, and the Mental Health and Health subaccounts will be redirected to cover IHSS costs when sales tax is insufficient
- In the sixth year, growth will go back to the normal flow (But – prior VLF₄₇ redirection is permanent)

1991 Realignment Growth Expected flow (SB 90)



1991 Realignment Growth Expected Flow (SB 90)



1991 MOE Requirements

- Mental Health (WIC 17608.05)
- Social Services (None)
- Health (WIC 17608.1)

1991 Transfer Options

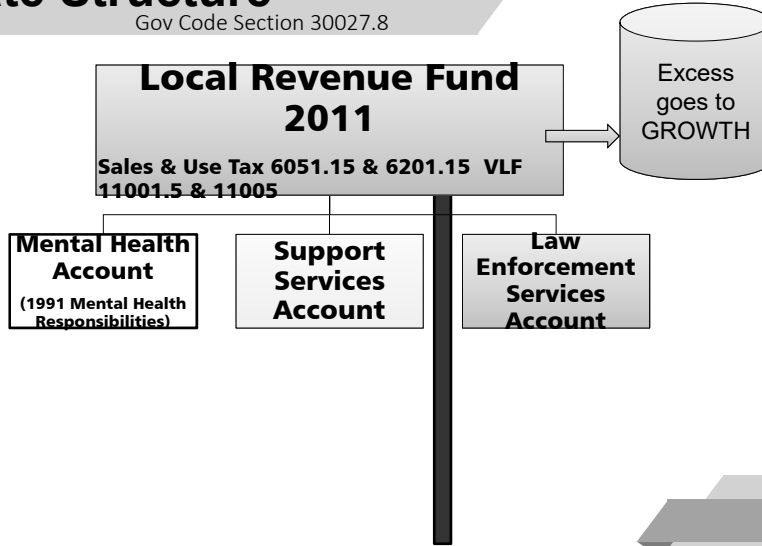
- None for CalWORKs MOE
- None for Family Support Account
- May reallocate money among accounts, not to exceed 10% of amount deposited for that fiscal year
- May reallocate add'l. 10% from health to social services
- May reallocate add'l. 10% from social services to mental health or health
- Must go to BOS
- Must notify SCO

2011 Realignment

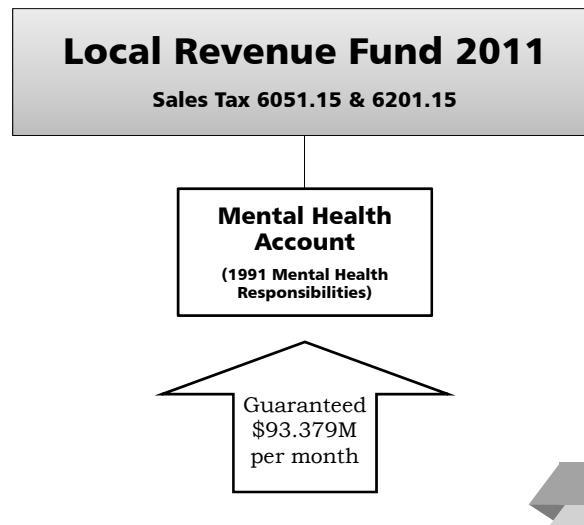
- **2011 “Public Safety” Realignment** (Gov Code 30025-30029.12)
 - Behavioral Health
 - Protective Services (Social Services)
 - Law Enforcement
- **2011 “Public Safety” Realignment** (Gov Code 30025-30029.12)
 - 1.06 cent sales tax
 - \$489 million vehicle license fees

Local Revenue Fund 2011 State Structure

Gov Code Section 30027.8

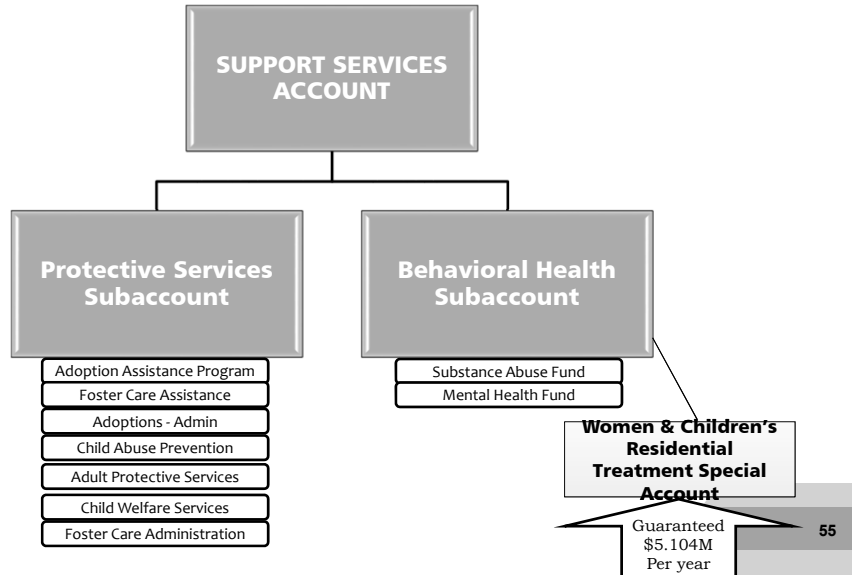


Mental Health Account State Structure

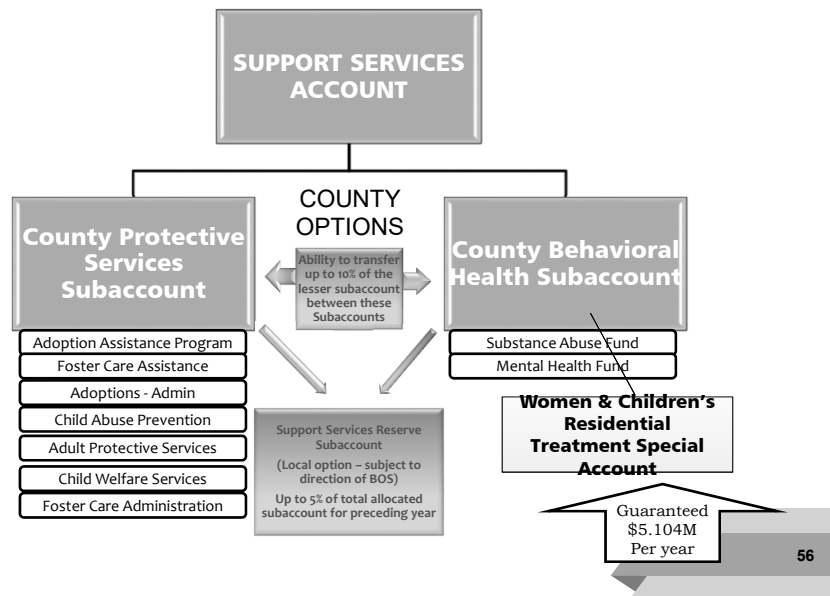




Support Services Account State Structure

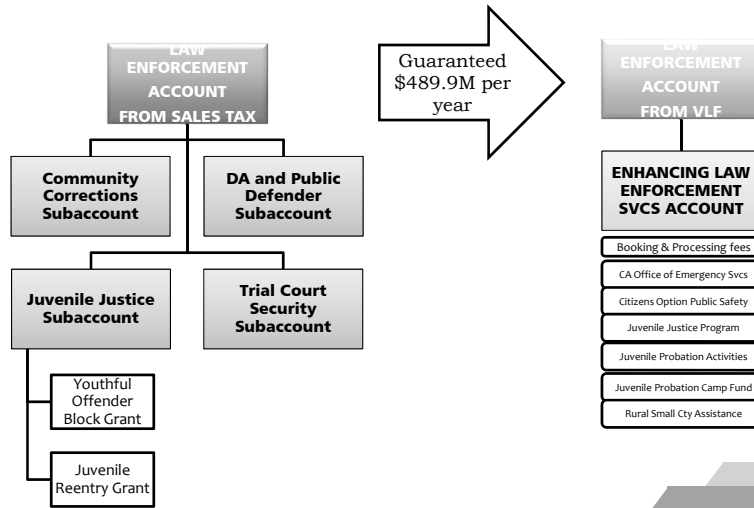


Support Services Account County Structure





Law Enforcement Account State Structure



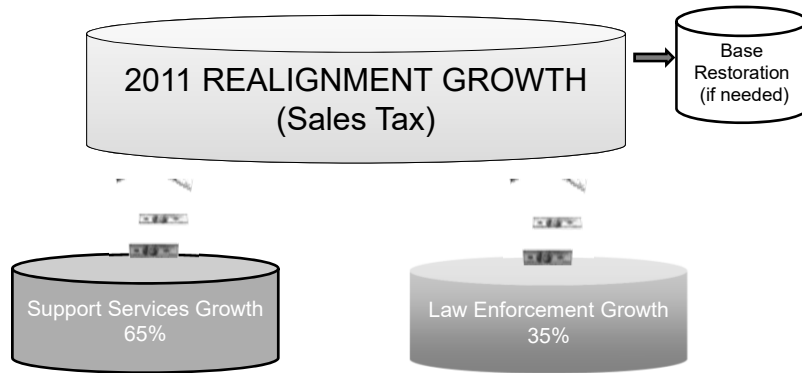
Community Corrections (AB109) Long Term Allocation Formula Base Funding Factors

Beginning FY 2015-16

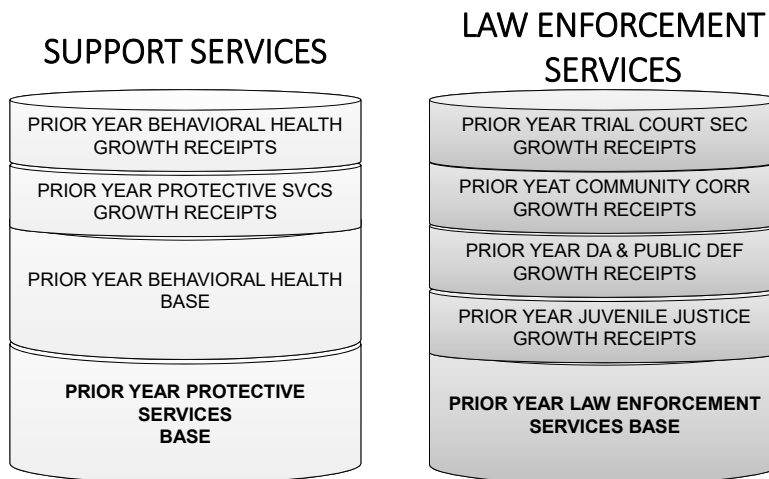
BASIS	PERCENTAGE	FACTORS
Caseload	45%	<ul style="list-style-type: none"> • 1170h jail inmates • PRCS • Felony Probation
Crime and Population	45%	<ul style="list-style-type: none"> • Number of serious crimes • Adult Population
Special Factors	10%	<ul style="list-style-type: none"> • Poverty • Small county minimums • Presence of State prison



Growth Account State Structure



2011 Realignment (Sales Tax) Base Calculation Summary





2011 Realignment Base Determination:

Base Amount Calculation

$$\text{Year 1 Base} + \text{Year 1 Growth} = \text{Year 2 Base}$$

Example:

$$\$100 + \$10 = \$110$$

Base Ratio Calculation

$$\text{Year 2 Subaccount Base} \div \text{Year 2 Total SS + Law Enf Base} = \text{Year 2 Subaccount \%}$$

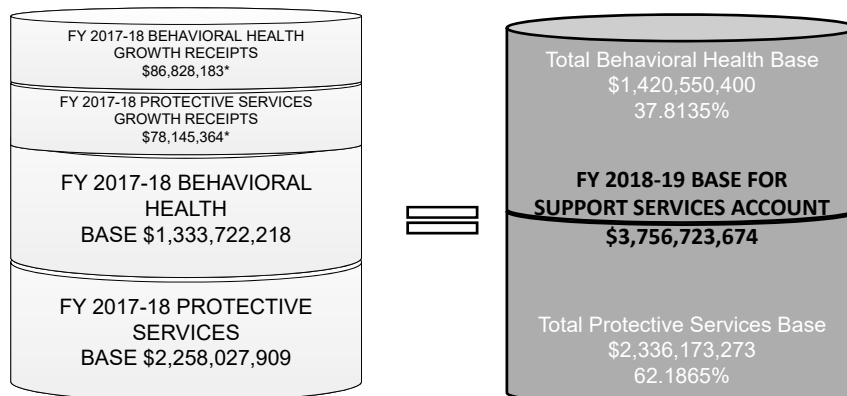
Example: Y2 Base Support Svc = 220; Law Enforcement = 110

Support Services	\$220	÷	\$330	=	66.67%
Law Enforcement	\$110	÷	\$330	=	33.33%

61



Support Services Next Year's Base Calculation

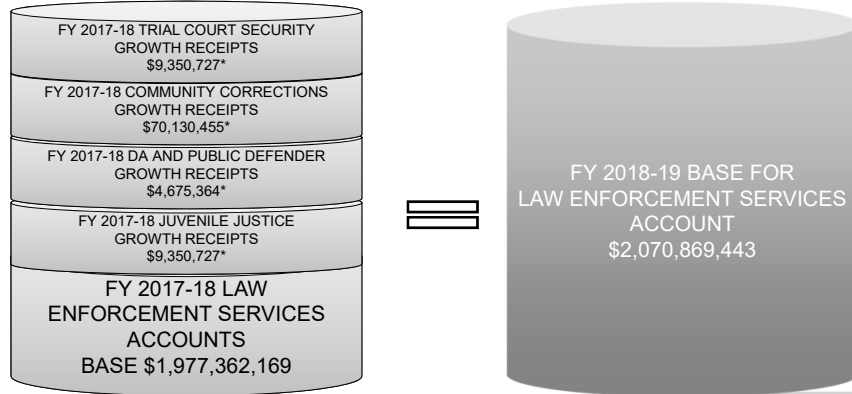


* Pending distribution

62



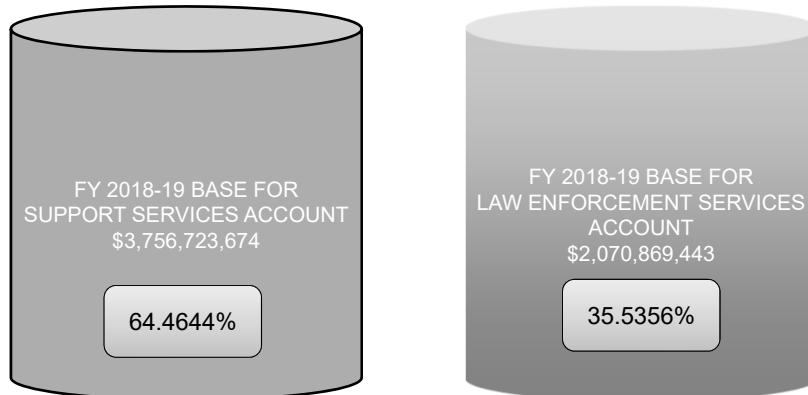
Law Enforcement Services Next Year's Base Calculation



* Pending distribution 63



New Ratio for FY 2018-19 Base



64

CHANGES BEHAVIORAL HEALTH COUNTY DISTRIBUTION RATIO

CSAC CALIFORNIA STATE ASSOCIATION OF COUNTIES

2011 Realignment Behavioral Health Base Set

FARRAH MCDAID TING | ELIZABETH MARSO LAIS
September 22, 2016

After nearly two years of work, the funding allocation percentages for each county's 2011 Realignment Behavioral Health Subaccount Base have been set. CSAC worked with the Department of Finance, Health and Human Services Agency, Department of Health Care Services, and the County Behavioral Health Directors Association to set this permanent base for 2011 Realignment Behavioral Health services.

The new base amount for each county is derived from a series of new factors, including Medi-Cal enrollment (based on a monthly average) and historical Managed Care allocations. This last factor proved to be a late-breaking problem, as all parties had originally agreed to use actual Managed Care claims from 2013-14. However, using claims data that originated from the year of the implementation of the Affordable Care Act proved to be an unreliable measure of a county's actual costs and would have resulted in significant losses for many counties. CSAC and CBHDA worked to communicate our concerns with these swings, and we are grateful to the Department of Finance for their decision to "stop the presses" last week on a base with wide swings based on managed care claims and to instead use each county's historical Managed Care allocation.

Despite the managed care factor fix, five counties would have still experienced a more than 15 percent decline in their allocation in the current year. The solution was to redistribute a small portion of the funding that is available after each county receives the full share of funding based on the methodology's factors. This is a one-time adjustment for those counties to mitigate loss of more than 15 percent in the current year. This augmentation will become part of each county's base moving forward.

The new base also incorporates the "rolling base" concept, whereby each county's 2016-17 base amount serves as the starting point and any growth revenue will be added to each county's total allocations received for that fiscal year. We are pleased that the new base is built upon allocations previously received by each county under the "temporary base" and that the base will incorporate base revenues plus growth revenues moving forward.

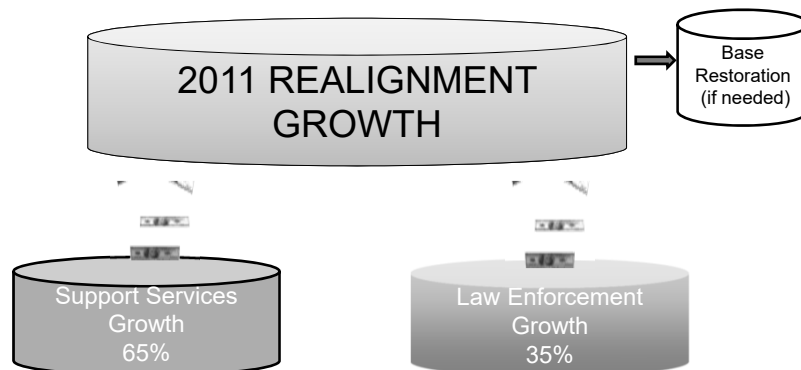
The new 2011 Realignment Behavioral Health base allocation percentages for each county are available on the CSAC Website.



BHRS Letters

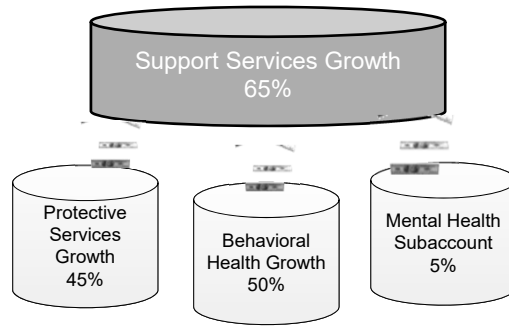


Growth Account State Structure





Support Services Growth Account State Structure



67

2016-17 Behavioral Health Growth Allocation

ALLOCATION METHODOLOGY	PERCENTAGE OF FUNDING A	TOTAL FUNDING (A*\$98,363,658) B
One-time % adjustment to correct omission of Drug Medi-Cal claims in FY15-16 BHRS Growth	N/A	
Percentage of Claims	50%	\$49,181,829
Medi-Cal Enrollment	50%	\$49,181,829
TOTAL	100%	\$98,363,658

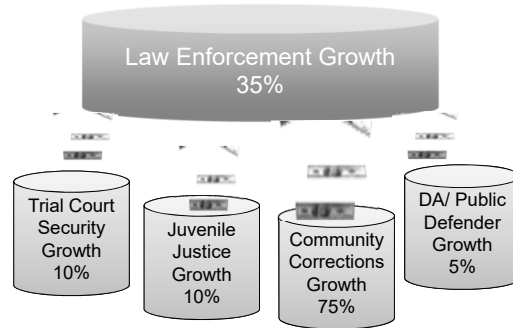


BH Growth Letter





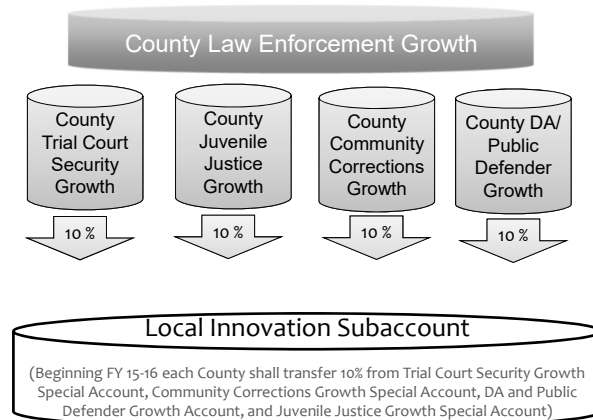
Law Enforcement Growth Account State Structure



69



Law Enforcement Growth Account County Structure



70

Agenda – Day 2

- Tools and Models
- Recap - Similarities & Differences
- Outcomes & Opportunities
- Group Activity
- Trends
- The Realigned View
- Discussion & Wrap-up

2011 Realignment Forecasting Tool

FORECAST MODEL - LOCAL REVENUE FUND 2011
PLEASE DO NOT INPUT ANYTHING ON THIS PAGE. THANK YOU.

For the Month of JULY

SUPPORT SERVICES ACCOUNT 66.4841%

Subaccount	Subaccount Distribution Statewide Per \$63020	% Of Distribution	State Receipts up to JULY	Statewide Estimate per Actual Receipts to Date	Estimate vs Allocation Variance	% To TOTAL LRF
PROTECTIVE SERVICES	\$ 2,278,027,200	62.8970592%	2,194,307,418	2,395,213,091	97,289,143	0.0000000%
BEHAVIORAL HEALTH	\$ 1,828,618,218	34.99081068%	1,373,683,730	1,868,021,432	57,403,204	0.2334882%
WCSYS (FIXED AMT)	\$ 9,504,000	0.14210349%	9,078,667	9,504,000	0	0.0000000%
TOTAL	\$ 5,115,149,417	100.0000000%	\$ 3,677,069,814	\$ 4,272,738,513	154,968,367	0.0000000%

LAW ENFORCEMENT SERVICES ACCOUNT 35.5009%

Subaccount	Subaccount Distribution Statewide Per \$63020	% Of Dist Albrtion	State Receipts up to JULY	Statewide Estimate per Actual Receipts to Date	Estimate vs Allocation Variance	% To TOTAL LRF
TRIAL COURT SECURITY	\$ 396,362,287	27.8326237%	327,897,207	374,026,850	25,489,543	0.0000000%
COMMUNITY CORRECTIONS	\$ 2,241,062,434	62.76936558%	1,889,850,304	1,964,477,375	55,453,141	0.2226764%
DA & PUBLIC DEFENDER	\$ 33,244,542	1.49221799%	31,865,510	34,673,896	1,400,824	0.0000000%
JUVENILE JUSTICE	\$ 126,733,287	7.7281212%	126,777,033	129,318,100	6,572,843	0.0000000%
TOTAL	\$ 2,897,362,549	100.0000000%	\$ 1,895,289,844	2,062,487,240	85,305,371	0.0000000%

TOTAL SS AND LAW ENFORCE \$ 5,869,112,296 \$ 3,377,657,758 1,809,405,053 230,893,758(100.0000%)

PROP 172 % TO DATE 091842128

	PROP 172 %	Statewide Allocation per \$63020	Statewide Estimate per Actual Receipts to Date	Estimate vs Allocation Variance
SEPTEMBER	0.070831390	381,962,137	408,832,139	16,869,992
OCTOBER	0.070794256	484,332,259	493,508,148	19,176,159
NOVEMBER	0.069759883	522,159,876	544,633,539	22,473,663
DECEMBER	0.070838935	411,200,297	429,001,000	17,761,203
JANUARY	0.070621036	612,960,280	490,003,000	17,761,811
FEBRUARY	0.113924852	623,320,918	650,148,539	26,827,641
MARCH	0.068603480	389,448,771	409,211,431	19,761,840
APRIL	0.067789124	377,510,733	393,738,738	16,248,005
MAY	0.068603286	548,518,937	571,405,190	23,586,653
JUNE	0.071572468	429,897,372	448,191,482	18,464,110
JULY	0.069249780	367,629,398	392,090,083	24,460,684
AUGUST	0.068027878	461,419,277	476,844,139	19,429,618
	1.000000000	5,369,112,296	5,808,806,093	236,893,738

Forecasting VLF & Sales Tax

PUBLIC SAFETY SALES TAX 15-16 AND 16-15					
MONTH	2015-16	2016-16	DIFF.	% CHANGE	
Sept	0.071	229,919,875.59	204,685,609.03	25,234,266.56	12.33%
Oct	0.076	252,298,981.12	278,439,264.53	(26,140,283.41)	-9.57%
Nov	0.093	306,268,443.05	302,648,720.45	3,619,722.60	1.18%
Dec	0.063	267,800,950.80	279,744,659.05	(11,943,708.25)	-4.46%
Jan	0.072	256,763,175.05	249,961,266.92	6,801,908.13	2.65%
Feb	0.110	354,891,552.22	343,989,631.91	10,901,920.31	3.13%
March	0.071	229,877,846.82	223,723,444.71	6,154,402.11	2.68%
April	0.069	222,491,278.08	223,118,583.37	(627,305.29)	-0.28%
May	0.091	269,886,568.15	271,620,759.08	(17,734,190.93)	-6.57%
June	0.091	269,725,350.88	239,312,512.22	30,412,838.66	11.28%
July	0.081	252,293,808.43	259,808,500.58	(7,514,692.15)	-2.98%
August	0.080	258,343,816.54	269,877,758.74	(11,533,942.20)	-4.47%
TOTAL	0.080	3,233,234,368.53	3,174,806,978.86	58,427,389.67	1.82%



PUBLIC SAFETY SALES TAX 16-17 AND 16-16					
MONTH	2016-17	2016-16	DIFF.	% CHANGE	
Sept	0.077	258,011,191.88	229,919,875.59	28,091,316.29	12.22%
Oct	0.074	247,585,175.72	252,298,981.12	(4,713,805.40)	-1.87%
Nov	0.093	313,336,449.73	302,648,720.45	10,687,729.28	3.53%
Dec	0.074	248,308,370.21	267,800,950.80	(19,492,580.59)	-7.28%
Jan	0.074	247,451,500.80	256,763,175.05	(9,311,674.25)	-3.63%
Feb	0.111	378,116,105.44	349,891,552.31	28,224,553.13	8.07%
March	0.069	232,733,882.43	229,877,846.82	2,856,035.61	1.24%
April	0.069	232,028,574.74	222,491,278.08	9,537,296.66	4.29%
May	0.097	328,175,099.03	309,886,568.15	18,288,530.88	5.90%
June	0.077	270,464,999.22	269,725,350.88	740,648.34	0.27%
July	0.102	326,442,857.47	259,808,500.58	66,634,356.89	25.65%
August	0.084	281,088,328.84	259,343,816.54	21,744,512.30	8.38%
TOTAL	0.080	3,358,637,300.61	3,233,234,368.53	125,402,932.08	3.88%



PUBLIC SAFETY SALES TAX 17-18 AND 16-17					
MONTH	2017-18	2016-17	DIFF.	% CHANGE	
Sept	0.076	262,270,598.32	258,011,191.88	4,259,406.44	1.65%
Oct	0.078	262,502,271.09	247,585,175.72	14,917,095.37	6.03%
Nov	0.099	320,922,473.53	313,336,449.73	7,586,023.80	2.42%
Dec	0.076	261,626,230.85	248,308,370.21	13,317,860.64	5.36%
Jan	0.061	270,650,310.14	247,451,500.80	23,198,809.34	9.38%
Feb	0.112	387,121,808.85	378,116,105.44	9,005,703.41	2.38%
March	0.076	251,953,337.08	232,733,882.43	18,219,454.65	7.83%
April	0.061	227,808,519.46	229,828,574.74	(2,020,055.28)	-0.88%
May	0.101	338,987,384.20	328,175,099.03	10,812,285.17	3.29%
June	0.072	268,295,105.44	269,886,568.15	(1,591,462.71)	-0.59%
July	0.107	329,777,048.77	326,442,857.47	3,334,191.30	1.02%
August	0.080	282,262,029.41	281,088,328.84	1,173,700.57	0.42%
TOTAL	0.081	3,473,186,263.48	3,358,637,300.61	114,548,962.87	3.41%

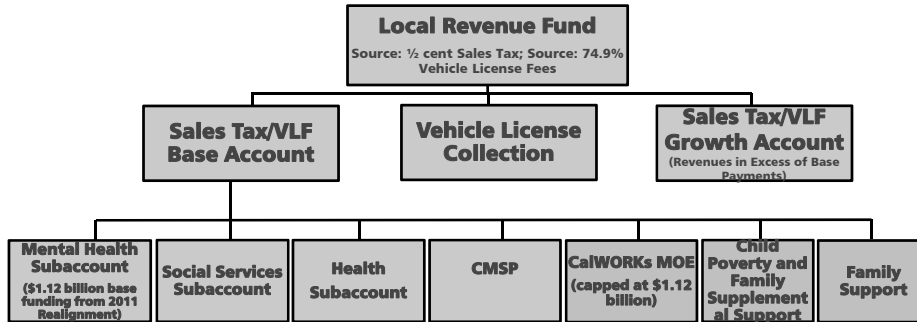


CalWORKs MOE Reconciliation Spreadsheet

STATEMENT OF CASE ADVANCE: AASIS		AA190 ENTRY	
HEALTH AND HUMAN SERVICES AGENCY, DEPARTMENT OF SOCIAL SERVICES		FY17-18 SAMPLE (Color-coded)	
CONTRACT	October 2017	July-17	Aug-17
ESTIMATED BUDGET	Actual	Actual	Actual
020947W	36,772.00	36,772.00	478,900.00
020947W	7,240.00	7,240.00	
020947W	(541,508.00)	(541,508.00)	
020947W	1,647,199.00	1,647,199.00	
020947W	88,453.00	88,453.00	
020947W	(80,817.61)	(80,817.61)	
020947W	(84,932.89)	(84,932.89)	
020947W	28,249.00	28,249.00	



1991 Realignment Structure State



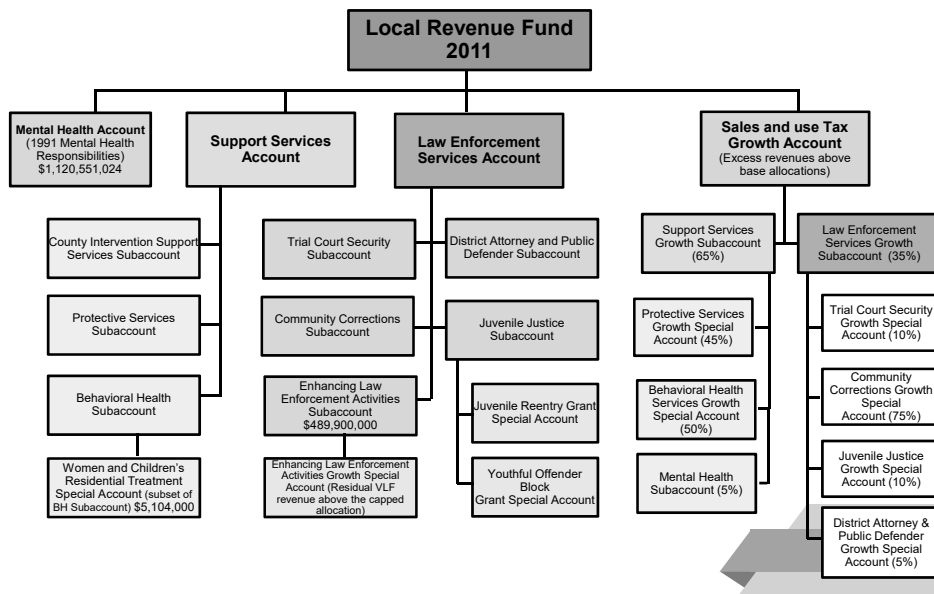
If CalWORKs has reached cap, funds in excess go to Mental Health



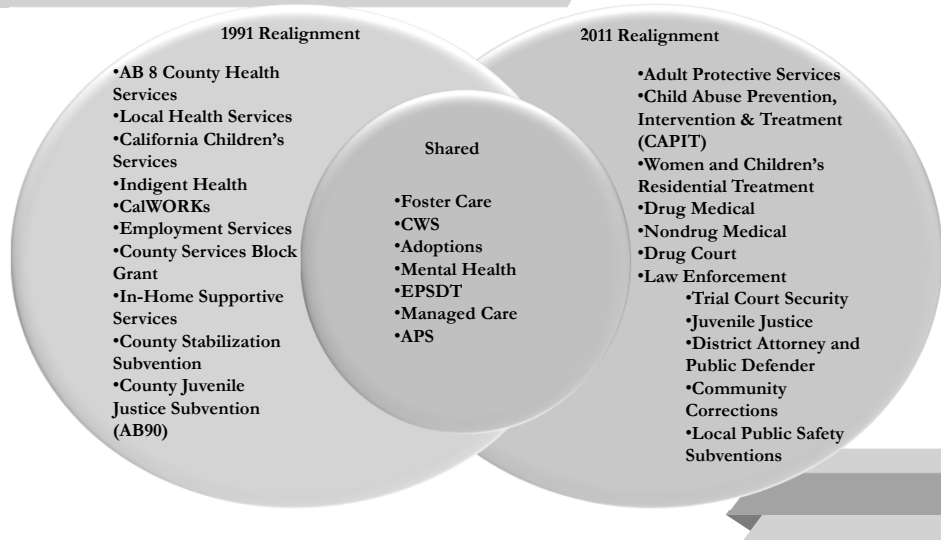
80



2011 Realignment Structure State



Intersection of Realignment Programs



Impact of 2011 Realignment to 1991 Sharing Ratios

Program	1991 Share (non-Fed)	New 2011 Share (non-Fed)
Foster Care	60%	100%
Child Welfare Services	30%	100%
Adoptions Assistance	25%	100%
Adoptions Eligibility	0%	100%
Adult Protective Services	MOE	100%
Child Abuse Prevention, Intervention, & Treatment (CAPIT)	16%	100%

Similarities and Differences 1991 & 2011

- Base Restoration
- Programs
- Reserve Account
- VLF vs. Sales Tax
- Transfer Abilities
- Reporting Requirements
- Fed/Court Changes
- Flexibility
- Growth allocated
- County Intervention Services Account
- Fiscal Years
- Constitutional Protections

Realignment Outcomes and Opportunities

- General Observations
- Coordination / Innovation Examples
- Outcome Data
- Future Possibilities

General Observations

- Local Flexibility and Accountability
- Improved Program Understanding and Connectivity
- Significant Learning Curve - Still Learning
- Potential of Successful Partnerships

Coordination / Innovation Examples

- Santa Clara County Re-entry Resource Center
- Santa Clara County Medical Mobile Unit
- Public Defender Expungement Programs
- Sacramento County Collaborative Specialty Court Programs
- Los Angeles County “Breaking Barriers” Rapid Re-Housing Program

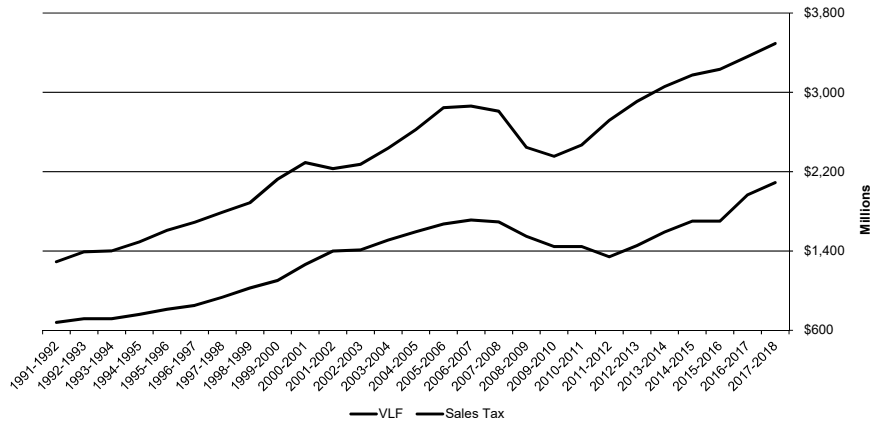
Outcome Data

- Recidivism
- Housing
- Medical Services
- Employment

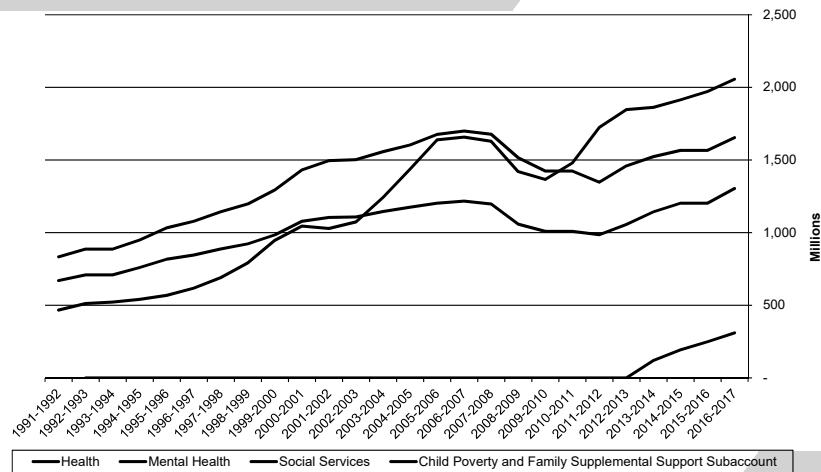
Future Possibilities

- Pre-Trial Release
- Expanded Collaborations – Correctional Health, Behavioral Health, Probation
- Housing / Homelessness Prevention
- Partnerships with Non-Profits / Community Organizations

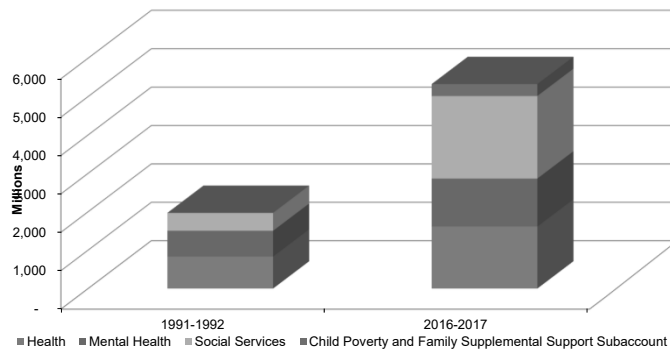
1991 Sales Tax and VLF Collections By Fiscal Year



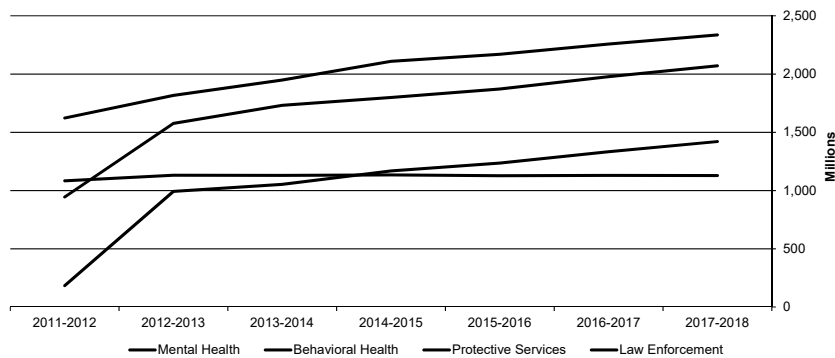
1991 Realignment Collections By Fiscal Year



1991 Realignment 1991 vs 2016 Collections



2011 Realignment Sales Tax Collections By Fiscal Year



Flow of 1991 Realignment Theory and Reality

1991 REALIGNMENT (SALES TAX AND VET)
SOCIAL SERVICES
FULL FUNDING ASSERTION

10/10/2015

IN THEORY

* Each year State Controller's Office (SCO) publishes a base amount of realignment (equivalent to the prior year amount of realignment), plus growth for costed, and possible "general" growth.
 ** The state amount plus the growth amount becomes the total year's "base amount".
 *** These 2011 amounts and the growth amount are the growth amount of the 2011 costed services and general growth.

BASE	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	Total
State	1,808,454.20	1,829,011.85	1,842,062.50	1,856,852.35	1,872,478.20	1,888,953.15	1,906,282.10	1,924,465.05	1,943,506.00	18,442,764.90
growth (costed)**	91,543.87	105,959.42	124,975.54	146,172.59	169,824.79	197,485.75	230,276.61	270,693.74	324,443.41	1,546,822.76
growth (general)***	2,670.63									18,442,764.90
Total	1,808,454.20	1,829,011.85	1,842,062.50	1,856,852.35	1,872,478.20	1,888,953.15	1,906,282.10	1,924,465.05	1,943,506.00	18,442,764.90

** note: This "type" amount is FY 2007 (10) to the actual amount from 100 website.
 *** note: These are the actual state-wide costed growth amounts, per 1000 and 100.

IN REALITY

* The flow of realignment annual (state tax and VET) is based on the accounts and not real directly to costs.
 ** The growth amount plus the costed amount and the general amount is the total amount of growth.
 *** The data demonstrates that it can take over four years to receive actual costed growth.
 **** Each year costed growth is paid, then it is "reapportioned" to the state's base amount for next year.

BASE	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011**	FY 2012**	FY 2013**	FY 2014**	FY 2015**	Total
State	1,808,454.20	1,829,011.85	1,842,062.50	1,856,852.35	1,872,478.20	1,888,953.15	1,906,282.10	1,924,465.05	1,943,506.00	18,442,764.90
growth for 06/07					74,405.18					91,543.87
growth for 07/08					39,440.98	65,908.49				105,389.45
growth for 08/09					124,975.54	146,172.59				271,148.13
growth for 09/10					146,172.59	169,824.79				316,000.00
growth for 10/11					169,824.79	197,485.75				367,310.54
growth for 11/12							230,276.61			230,276.61
growth for 12/13								270,693.74		270,693.74
growth for 13/14									324,443.41	324,443.41
growth for 14/15										18,442,764.90
growth (general)***	2,670.63									18,442,764.90
Total	1,808,454.20	1,829,011.85	1,842,062.50	1,856,852.35	1,872,478.20	1,888,953.15	1,906,282.10	1,924,465.05	1,943,506.00	18,442,764.90

**** based on 1000 website.

The amounts listed are from the 100 website on 10/10/2015. Realignment: <http://www.sos.ca.gov/realignment>

1.1 The growth payments listed as received for FY 2012, were actually paid from 10/10/2015 to 10/10/2016.
 1.2 The growth payments listed as received for FY 2013, were actually paid from 10/10/2015 to 10/10/2016.
 1.3 The growth payments listed as received for FY 2014, were actually paid from 10/10/2015 to 10/10/2016.
 1.4 The growth payments listed as received for FY 2015, were actually paid from 10/10/2015 to 10/10/2016.

***** difference between predict and reality

BASE	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	Total
State	1,808,454.20	1,829,011.85	1,842,062.50	1,856,852.35	1,872,478.20	1,888,953.15	1,906,282.10	1,924,465.05	1,943,506.00	18,442,764.90
growth (general)***	2,670.63									18,442,764.90
growth (costed)**					74,405.18	65,908.49	124,975.54	146,172.59	169,824.79	1,546,822.76
Total	1,808,454.20	1,829,011.85	1,842,062.50	1,856,852.35	1,872,478.20	1,888,953.15	1,906,282.10	1,924,465.05	1,943,506.00	18,442,764.90

**** based on 1000 website.

Model for Realignment Moving Forward

MODEL FOR REALIGNMENT MOVING FORWARD

STATE	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	Total
State	1,808,454.20	1,829,011.85	1,842,062.50	1,856,852.35	1,872,478.20	1,888,953.15	1,906,282.10	1,924,465.05	1,943,506.00	18,442,764.90
growth for 06/07					74,405.18					91,543.87
growth for 07/08					39,440.98	65,908.49				105,389.45
growth for 08/09					124,975.54	146,172.59				271,148.13
growth for 09/10					146,172.59	169,824.79				316,000.00
growth for 10/11					169,824.79	197,485.75				367,310.54
growth for 11/12							230,276.61			230,276.61
growth for 12/13								270,693.74		270,693.74
growth (general)***	2,670.63									18,442,764.90
Total	1,808,454.20	1,829,011.85	1,842,062.50	1,856,852.35	1,872,478.20	1,888,953.15	1,906,282.10	1,924,465.05	1,943,506.00	18,442,764.90
State compared to PRIOR YEAR										
% of change from PRIOR YEAR		-1.78%	-12.83%	-1.82%	8.30%	8.85%	7.14%			

*Based on Theory vs Reality worksheet

QUICK LOOK MODEL

BASE YEAR: 2010-16

Percent of anticipated change in comparison to the last recession:

PERCENT OF CHANGE	300%	80%	100%	120%	70%	100%		
FISCAL YEAR	FY 2007-16	FY 2008-17	FY 2009-18	FY 2010-19	FY 2010-20	FY 2011-21	FY 2011-22	Total
State	1,808,454.20	1,829,011.85	1,842,062.50	1,856,852.35	1,872,478.20	1,888,953.15	1,906,282.10	1,924,465.05
Increase / Decrease per Trend	(29,443,285)	(147,174,972)	(15,778,486)	117,371,989	18,052,312	122,338,826	176,328,485	0
Cost load Growth (100%)								0
Growth								0
TOTAL	1,808,454.20	1,829,011.85	1,842,062.50	1,856,852.35	1,872,478.20	1,888,953.15	1,906,282.10	1,924,465.05



Contact Information

➤ PRESENTERS

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- **Robert Manchia**, County Budget Director, San Mateo County Manager's Office; (650) 363-4597; rmanchia@smcgov.org
- **Andrew Pease**, Finance Director, County of San Diego, Health & Human Services Agency; (619) 515-6548; andrew.pease@sdcounty.ca.gov
- **Bruce Wagstaff**, Deputy County Executive, County of Sacramento, Social Services Agency; (916) 874-5886; wagstaffB@saccounty.net
- **Geoff Neill**, Principal Analyst, CSAC; (916) 650-8115; gneill@counties.org

Realignment Resource List

Reference	Description	Link
State Controller's Office	Lists of local apportionments for 1991 and 2011 Realignment.	http://www.sco.ca.gov/ard_local_apportionments.html
2011 Realignment		
Support Services Account		
2011 Protective Services Realignment	Provides monthly apportionment of 2011 Protective Services Realignment to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_protectiveservices.html
2011 Behavioral Health Realignment	Provides monthly apportionment of 2011 Behavioral Health Realignment to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_behavioralhealth.html
2011 Women and Children's Residential Treatment Realignment	Provides monthly apportionment of 2011 Women and Children's Residential Treatment Realignment to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_womenandchildrenresidentialtreatment.html
Law Enforcement Services Account		
Community Corrections (Local Community Corrections)	Provides monthly apportionment of 2011 Community Corrections Subaccount (Local Community Corrections) to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_local_community.html
District Attorney and Public Defender Enhancing Law Enforcement Activities Subaccount	Provides monthly apportionment of 2011 District Attorney and Public Defender to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_daandpubdef.html
Booking and Processing Fees Apportionment	Provides monthly apportionment of 2011 Booking and Processing Fees Apportionment to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_booking.html
Citizens' Option for Public Safety (COPS) Program and Multi-Agency Juvenile Justice Funds	Provides monthly apportionment of 2011 Citizens' Option for Public Safety (COPS) Program and Multi-Agency Juvenile Justice Funds to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_cops.html
Rural and Small County Law Enforcement	Provides monthly apportionment of 2011 Rural and Small County Law Enforcement to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_rural.html
California Emergency Management Agency	Provides monthly apportionment of 2011 California Emergency Management Agency to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_ca_emerg_man.html
Juvenile Probation Activities	Provides monthly apportionment of 2011 Juvenile Probation Activities to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_juv_prob.html
Juvenile Probation Camp Funding	Provides monthly apportionment of 2011 Juvenile Probation Camp Funding to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_juvenileprobationcampfunding.html
Juvenile Justice Subaccount		
Youthful Offender Block Grant Special Account	Provides monthly apportionment of 2011 Youthful Offender Block Grant Special Account to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_youth.html
Juvenile Reentry Grant Special Account	Provides monthly apportionment of 2011 Juvenile Reentry Grant Special Account to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_youth_reentry.html
Trial Court Security Subaccount	Provides monthly apportionment of 2011 Trial Court Security Subaccount to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_trialcourtsecurity.html
Sales and Use Tax Growth Account		
Protective Services Growth Special Account	Provides growth allocation.	http://www.sco.ca.gov/ard_payments_protectiveservicesgrowth.html
Behavioral Health Services Growth Special Account	Provides growth allocation.	http://www.sco.ca.gov/ard_payments_behavioralhealthgrowth.html
Mental Health Subaccount	Provides growth allocation.	http://www.sco.ca.gov/ard_payments_local_mentalhealthsubaccountgrowth.html
Trial Court Security Growth Special Account	Provides growth allocation.	http://www.sco.ca.gov/ard_payments_trialcourtsecuritygrowth.html
Community Corrections Growth Special Account	Provides growth allocation.	http://www.sco.ca.gov/ard_payments_local_communitygrowth.html
District Attorney and Public Defender Growth Special Account	Provides growth allocation.	http://www.sco.ca.gov/ard_payments_local_dapdgsa.html
Juvenile Justice Growth Special Account	Provides growth allocation.	http://www.sco.ca.gov/ard_payments_juvenilejusticegrowth.html
Government Code Section 30025-30029.12	Government Code for 2011 Realignment.	http://www.leginfo.ca.gov/cgi-bin/displaycode?section=gov&group=30001-31000&file=30025-30029_12
2011 Realignment Trailer Bill - SB 1020 Behavioral Health Subaccount	Trailer bill provides an overall financing structure of 2011 Realignment.	http://leginfo.legislature.ca.gov/faces/billNavClient.xhtml?bill_id=201120120SB1020&search_keywords=
MHSD Information Notice No. 12-08	All County Letter regarding the Local Behavioral Health Subaccount allocations for FY 2012-13.	http://www.dhcs.ca.gov/formsandpubs/MHCCY/InfoNotice12-08.pdf
MHSUDS Information Notice No. 14-016	All County Letter regarding the Local Behavioral Health Subaccount allocations for FY 2013-14.	http://www.dhcs.ca.gov/formsandpubs/Documents/14_016_MHSUDS_Info_Notice.pdf
MHSUDS Information Notice No. 14-017	All County Letter regarding the Local Behavioral Health Growth Special Account allocations for FY 2013-14.	http://www.dhcs.ca.gov/formsandpubs/Documents/14_016_MHSUDS_Info_Notice.pdf
MHSUDS Information Notice No. 16-015	FY 2016-17 Behavioral Health Subaccount Allocations	http://www.dhcs.ca.gov/services/MH/Documents/InfoNotice_16-015_FY2016-17BHSUBaccountAllocations.pdf
MHSUDS Information Notice No. 16-041	FY 2016-17 Behavioral Health Subaccount Allocations	http://www.dhcs.ca.gov/services/MH/Documents/MHSUDS16-041_FY2016-17BHSUBaccount.pdf
County Fiscal Letter 12/13-16	Protective Services Subaccount percentage calculation by county.	http://www.dss.cahwnet.gov/lettersnotices/entres/getinfo/cfl/2012-13/12_13-16.pdf
County Fiscal Letter 11/12-18	Health and Human Services Account percentage calculation for FY 2011-12 by county.	http://www.dss.cahwnet.gov/lettersnotices/entres/getinfo/cfl/2011-12/11-12_18.pdf
LAO Report on 2011 Realignment	Report published in May 2012 evaluating 2011 Realignment.	http://www.lao.ca.gov/handouts/socservices/2012/Evaluating_Realignment_Superstructure_5_25_12.pdf

Realignment Resource List

Reference	Description	Link
1991 Realignment		
1991 Realignment	Provides monthly apportionment of 1991 Realignment to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_realign.html
1991 Realignment Statutes	California Welfare and Institution Codes for 1991 Realignment	
<i>WIC Section 17600 - 17600.60</i>	<i>Funding Allocations</i>	http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=17600.&lawCode=WIC
<i>WIC Section 17601 - 17601.20</i>	<i>Mental Health Allocations</i>	http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=17601.&lawCode=WIC
<i>WIC Section 17602 - 17602.1</i>	<i>Social Services Allocations</i>	http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=17602.&lawCode=WIC
<i>WIC Section 17603 - 17603.05</i>	<i>Health Allocations</i>	http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=17603.&lawCode=WIC
<i>WIC Section 17604 - 17604.05</i>	<i>Vehicle License Fee Allocations</i>	http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=17604.&lawCode=WIC
<i>WIC Section 17605 - 17605.10</i>	<i>Growth Account Allocations--Deposits</i>	http://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?sectionNum=17605.&lawCode=WIC
<i>WIC Section 17606.10 - 17606.20</i>	<i>Allocation of Funds from the Sales Tax Growth Account</i>	http://leginfo.legislature.ca.gov/faces/codes_displayText.xhtml?lawCode=WIC&division=9.&title=&part=5.&chapter=6.&article=7.
<i>WIC Section 17608.05 - 17608.15</i>	<i>County Matching Fund Requirements</i>	http://leginfo.legislature.ca.gov/faces/codes_displayText.xhtml?lawCode=WIC&division=9.&title=&part=5.&chapter=6.&article=9.
<i>WIC Section 17609 - 17609.10</i>	<i>Expenditure Limitations and Reports</i>	http://leginfo.legislature.ca.gov/faces/codes_displayText.xhtml?lawCode=WIC&division=9.&title=&part=5.&chapter=6.&article=10.
LAO Report on 1991 Realignment	Report published in Feb 2001 evaluating 1991 Realignment.	http://www.lao.ca.gov/aoapp/PubDetails.aspx?id=755
CWDA 1991 Realignment Growth Desk guide	Tool to assist counties in the realignment caseload growth reconciliation process.	http://www.cwda.org/general-information/realignment-caseload-
Miscellaneous (Sales Tax and VLF info)		
AB 85	1991 Realignment/CalWORKs trailer bill. This bill also implements a mechanism for counties to share savings, which result from implementation of the federal Affordable Care Act (ACA), with the state.	http://www.leginfo.ca.gov/pub/13-14/bill/asm/ab_0051-0100/ab_85_bill_20130627_chaptered.pdf
AB 104	Bill with clean-up language for AB 85.	http://leginfo.legislature.ca.gov/faces/billNavClient.xhtml?bill_id=201320140AB104&search_keywords=
Prop 172	Provides monthly apportionment of Prop 172 (Half Percent Sales Tax for Public Safety) to counties, usually posts around 25th or 27th of the month.	http://www.sco.ca.gov/ard_payments_pubsafe.html
Monthly Statements of General Fund Cash Receipts and Disbursements	Statement reflecting California's General Fund cash position and compares actual receipts and disbursements for the current fiscal year to cash flow estimates prepared by Department of Finance during the budget process.	http://www.sco.ca.gov/ard_state_cash.html
CSAC	Link to training materials.	http://www.csac.counties.org/post/realignment-301-where-funds-flow
CSAC	Link to training materials.	http://www.csac.counties.org/knowledge-center

Description of Major Features

Table 7				
Components of State and Local Program Realignment				
(in millions)				
Transferred Programs			COSTS SHIFTED TO COUNTIES	
<i>Mental Health</i>			\$750	
•	Community-based Mental Health Programs ^a		452	
•	State Hospital Services for County Patients		210	
•	Institutions for Mental Diseases (IMDs)		88	
<i>Public Health</i>			\$506	
•	AB 8 County Health Services		503	
•	Local Health Services (LHS)		3	
<i>Indigent Health</i>			\$435	
•	Medically Indigent Services Program (MISP) ^b		348	
•	County Medical Services Program (CMSP)		87	
<i>Local Block Grants</i>			\$52	
•	County Stabilization Subventions		15	
•	County Juvenile Justice Subventions		37	
County Cost-Sharing Ratio Changes		STATE/COUNTY SHARES OF NONFEDERAL^c PROGRAM COSTS		COSTS SHIFTED TO COUNTIES
		PRIOR LAW	REALIGNMENT	
<i>Health</i>				
•	California Childrens' Services	75 / 25	50 / 50	\$30
<i>Social Services</i>				
•	AFDC - Foster Care (AFDC-FC) ^d	95 / 5	40 / 60	363
•	Child Welfare Services (CWS) ^e	76 / 24	70 / 30	42
•	In-Home Supportive Services (IHSS) ^{e,f}	97 / 3	65 / 35	235
•	County Services Block Grant (CSBG) ^e	84 / 16	70 / 30	13
•	Adoption Assistance Program	100 / 0	75 / 25	12
•	Greater Avenues for Independence (GAIN) Program	100 / 0	70 / 30	26
•	AFDC - Family Group and Unemployed Parent (AFDC FG & U)	89 / 11	95 / 5	-155
•	County Administration (AFDC-FC, FG, U, foodstamps)	50 / 50	70 / 30	-95
Net Additional County Expenditures				\$2,212
Additional Revenues to Counties				PROJECTED 1991-92
•	State Sales Tax			\$1,422
•	Vehicle License Fee (VLF)			769
				\$2,191
^a Includes \$3.7 million for mental health assessments and treatment for court wards and dependents, as provided for by Ch 1294/89 (SB 370, Presley).				
^b A portion of expenditures for the MISP reflects the earmarking of \$116 million in revenues to replace funding that is anticipated to be lost in 1992-93 due to the expiration of funding under the federal Immigration Reform and Control Act (IRCA). (continued, next page)				

1991 HEALTH REALIGNMENT MAINTENANCE OF EFFORT CHART

FISCAL YEAR 2006-07 ESTIMATED MAINTENANCE OF EFFORT (MOE) CALCULATION ADJUSTED FOR GROWTH (SB 681, CHAPTER 6, STATUTES OF 1996)

COUNTY	A FY 2006-07 ESTIMATED SALES TAX INC. GRWTH (1)	B FY 2006-07 ESTIMATED VLF INC. GRWTH (1)	C FY 2006-07 COMB. ST. & VLF INC. GRWTH (A+B)	D AB 8 COUNTY MATCH	E FY 2006-07 ESTIMATED MOE (C+D)
ALAMEDA	\$15,577,430	\$47,242,476	\$62,819,906	\$20,545,579	\$83,365,485
Alpine	\$42,168	\$128,773	170,941	21,465	192,406
Amador	\$3,524,486	\$1,650,519	2,175,005	278,460	2,453,465
Butte	\$3,518,594	\$10,566,778	14,085,372	724,304	14,809,676
Calaveras	\$419,821	\$1,685,596	2,221,717	0	2,221,717
Colusa	\$8,013,659	\$1,333,923	1,753,750	237,754	1,991,504
CONTRA COSTA	\$500,603	\$23,983,923	31,997,582	10,114,331	42,111,913
Del Norte	\$1,944,652	\$1,583,633	2,084,236	44,324	2,128,560
EI Dorado	\$10,140,067	\$6,110,827	8,055,479	704,192	8,759,671
FRESNO	\$481,357	\$29,285,880	39,425,947	10,404,113	49,830,060
Glenn	\$3,386,620	\$1,517,847	1,999,204	58,501	2,057,705
Humboldt	\$3,461,365	\$10,604,638	13,991,258	589,711	14,580,969
Imperial	\$652,651	\$10,522,732	13,984,097	772,088	14,756,185
Inyo	\$1,756,180	\$2,063,037	2,715,688	561,262	3,276,950
KERN	\$6,858,637	\$19,948,057	26,806,694	7,623,407	34,430,101
Kings	\$787,557	\$5,258,405	7,014,585	466,273	7,480,858
Lake	\$528,632	\$2,321,607	3,109,164	118,222	3,227,386
Lassen	\$123,653,945	\$1,666,345	2,194,977	119,938	2,314,915
LOS ANGELES	\$1,750,526	\$378,330,382	501,984,327	159,324,707	661,309,034
Madera	\$3,838,851	\$5,176,197	6,926,723	81,788	7,008,511
Marin	\$2,512,423	\$1,232,020	16,070,934	1,196,515	17,267,449
Mariposa	\$1,124,428	\$3,349,870	4,474,243	347,945	4,822,188
Merced	\$311,699	\$885,129	9,112,820	858,484	9,971,304
Modoc	\$451,906	\$6,000,072	1,288,415	70,462	1,358,877
MONTEREY	\$3,234,541	\$1,406,169	1,857,975	409,928	2,267,903
Napa	\$1,647,256	\$9,721,250	12,855,621	3,367,970	16,223,591
Nevada	\$25,733,647	\$5,163,976	6,811,232	546,957	7,358,189
PLACER	\$1,518,159	\$63,485,916	89,219,563	96,375	90,183,938
Piomas	\$445,224	\$4,130,955	5,649,114	368,490	6,017,604
RIVERSIDE	\$13,110,886	\$1,385,087	1,830,311	66,295	1,896,606
SACRAMENTO	\$13,736,180	\$37,247,743	50,358,629	7,365,244	57,723,873
San Benito	\$625,832	\$38,561,327	52,297,507	7,128,508	59,426,015
SAN BERNARDINO	\$15,951,557	\$1,985,726	2,611,558	0	2,611,558
SAN DIEGO	\$31,180,242	\$41,337,330	57,288,887	4,316,679	61,605,566
SAN FRANCISCO	\$23,589,649	\$70,519,489	101,699,731	4,403,290	106,103,021
SAN JOAQUIN	\$6,100,507	\$72,155,240	95,744,889	39,363,076	135,107,965
SAN JUAN BAPTISTE	\$1,829,204	\$16,276,675	22,377,182	2,469,934	24,847,116
SAN LUIS OBISPO	\$5,564,823	\$5,425,168	7,254,372	1,359,837	8,614,209
SAN MATEO	\$3,340,580	\$16,742,447	22,307,270	6,786,043	29,093,313
SANTA BARBARA	\$13,513,755	\$10,001,151	13,341,731	3,794,166	17,135,897
SANTA CLARA	\$2,217,218	\$40,251,115	53,764,870	13,203,375	66,968,245
SANTA CRUZ	\$3,035,948	\$6,784,037	9,001,255	2,053,729	11,054,984
Shasta	\$105,693	\$9,047,007	12,082,955	184,049	12,267,004
Siskiyou	\$819,753	\$324,225	3,429,918	7,330	3,437,248
Solano	\$4,393,978	\$2,564,554	3,384,307	287,934	3,671,934
Sonoma	\$6,814,753	\$12,923,285	17,317,263	115,800	17,433,063
STANISLAUS	\$4,658,356	\$20,830,517	27,645,270	438,234	28,083,504
Sutter	\$1,585,346	\$13,239,666	17,898,022	3,510,803	21,408,825
Tehama	\$1,078,578	\$5,048,472	6,633,818	6,74,240	7,308,058
Trinity	\$463,352	\$3,404,346	4,482,924	446,992	4,929,916
TULARE	\$4,472,546	\$11,448,465	16,253,507	292,662	16,546,169
Tuolumne	\$829,895	\$11,780,961	16,253,507	1,547,481	17,800,988
VENTURA	\$5,316,030	\$2,638,271	3,468,166	4,305,830	7,773,996
YOLO	\$1,475,409	\$15,632,485	20,948,515	4,185,070	25,133,585
Yuba	\$1,393,796	\$4,301,063	5,776,472	1,081,388	6,857,860
TOTAL	\$393,899,734	\$1,138,186,492	\$1,532,086,226	\$341,356,245	\$1,873,442,471

(1) Estimated Sales Tax and Vehicle License fee for FY 2006-07 are based on actual FY 2004-05 figures inflated by 2% per fiscal year.

DEPARTMENT OF MENTAL HEALTH
LOCAL REALIGNMENT MAINTENANCE OF EFFORT (MOE) FUNDS

Fiscal Years 1991-92 to date

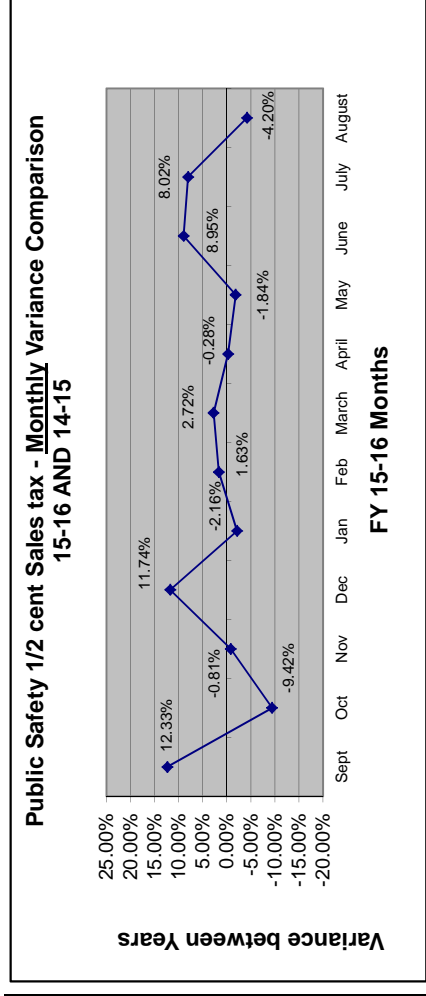
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	FY 1991-92	FY 1992-93	FY 1993-94*	FY 1994-95*	FY 1995-96	FY 1996-97**
Statewide	\$73,614,903	\$73,614,903	\$58,614,904	\$58,614,904	\$73,614,903	\$48,614,903
Alameda	4,673,729	4,673,729	3,721,396	3,721,396	4,673,729	3,086,507
Alpine	0	0	0	0	0	0
Amador	0	0	0	0	0	0
Butte	410,883	410,883	327,160	327,160	410,883	271,345
Calaveras	10,886	10,886	8,668	8,668	10,886	7,189
Colusa	12,174	12,174	9,693	9,693	12,174	8,040
Contra Costa	1,636,558	1,636,558	1,303,088	1,303,088	1,636,558	1,080,774
Del Norte	18,899	18,899	15,048	15,048	18,899	12,481
El Dorado	25,000	25,000	19,906	19,906	25,000	16,510
Fresno	1,447,072	1,447,072	1,152,212	1,152,212	1,447,072	956,639
Glenn	15,361	15,361	12,231	12,231	15,361	10,144
Humboldt	66,329	66,329	52,814	52,814	66,329	43,803
Imperial	28,364	28,364	22,584	22,584	28,364	18,731
Inyo	36,125	36,125	28,764	28,764	36,125	23,857
Kern	1,127,912	1,127,912	898,085	898,085	1,127,912	744,867
Kings	52,318	52,318	41,658	41,658	52,318	34,551
Lake	61,112	61,112	48,660	48,660	61,112	40,358
Lassen	0	0	0	0	0	0
Los Angeles	24,936,330	24,936,330	19,855,227	19,855,227	24,936,330	16,467,826
Madera	12,764	12,764	10,163	10,163	12,764	8,429
Marin	801,771	801,771	638,400	638,400	801,771	529,485
Mariposa	5,025	5,025	4,001	4,001	5,025	3,318
Mendocino	43,671	43,671	34,772	34,772	43,671	28,840
Merced	404,169	404,169	321,814	321,814	404,169	266,911
Modoc	0	0	0	0	0	0
Mono	10,826	10,826	8,620	8,620	10,826	7,149
Monterey	806,605	806,605	642,249	642,249	806,605	532,678
Napa	191,272	191,272	152,298	152,298	191,272	126,315
Nevada	46,780	46,780	37,248	37,248	46,780	30,893
Orange	5,203,347	5,203,347	4,143,097	4,143,097	5,203,347	3,436,264
Placer	351,244	351,244	279,673	279,673	351,244	231,960
Plumas	11,618	11,618	9,251	9,251	11,618	7,672
Riverside	2,291,355	2,291,355	1,824,461	1,824,461	2,291,355	1,513,199
Sacramento	2,666,818	2,666,818	2,123,419	2,123,419	2,666,818	1,761,153
San Benito	44,730	44,730	35,616	35,616	44,730	29,539
San Bernardino	2,790,381	2,790,381	2,221,804	2,221,804	2,790,381	1,842,753
San Diego	4,805,140	4,805,140	3,826,030	3,826,030	4,805,140	3,173,290
San Francisco	4,161,222	4,161,222	3,313,319	3,313,319	4,161,222	2,748,050
San Joaquin	1,610,757	1,610,757	1,282,544	1,282,544	1,610,757	1,063,736
San Luis Obispo	507,924	507,924	404,428	404,428	507,924	335,430
San Mateo	2,237,308	2,237,308	1,781,427	1,781,427	2,237,308	1,477,507
Santa Barbara	975,242	975,242	776,524	776,524	975,242	644,045
Santa Clara	2,349,584	2,349,584	1,870,826	1,870,826	2,349,584	1,551,653
Santa Cruz	497,716	497,716	396,300	396,300	497,716	328,689
Shasta	403,968	403,968	321,654	321,654	403,968	266,778
Sierra	0	0	0	0	0	0
Siskiyou	11,208	11,208	8,924	8,924	11,208	7,402
Solano	1,134,194	1,134,194	903,087	903,087	1,134,194	749,016
Sonoma	848,359	848,359	675,495	675,495	848,359	560,252
Stanislaus	979,992	979,992	780,306	780,306	979,992	647,182
Sutter/Yuba	34,530	34,530	27,494	27,494	34,530	22,803
Tehama	39,290	39,290	31,284	31,284	39,290	25,947
Trinity	8,970	8,970	7,142	7,142	8,970	5,924
Tulare	505,943	505,943	402,850	402,850	505,943	334,122
Tuolumne	30,348	30,348	24,164	24,164	30,348	20,042
Ventura	1,555,329	1,555,329	1,238,410	1,238,410	1,555,329	1,027,131
Yolo	571,424	571,424	454,989	454,989	571,424	377,365
Berkeley City	0	0	0	0	0	0
Tri-City	105,027	105,027	83,626	83,626	105,027	69,359

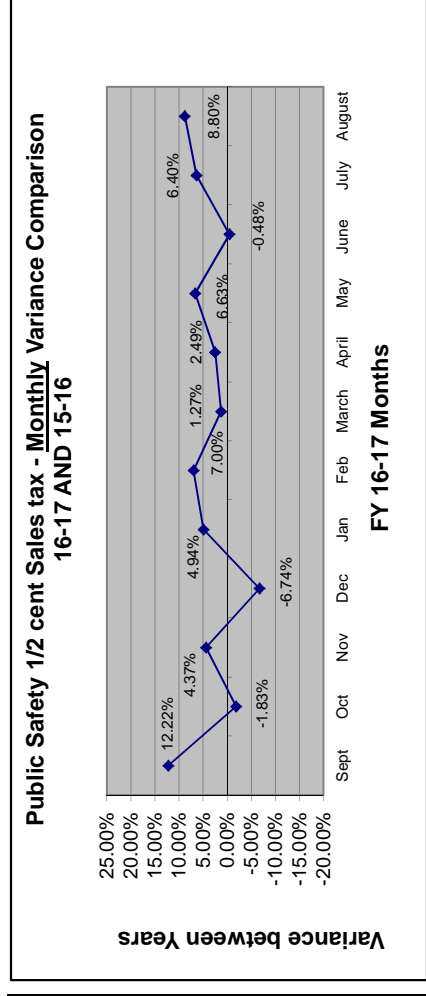
* Chapter 64, Statutes of 1993 (SB 627) authorized a \$15 million statewide reduction of MOE funds for FY 1993-94 and FY 1994-95.

** Chapter 6, Statutes of 1996 (SB 681) authorized a permanent \$25 million statewide reduction of MOE funds.

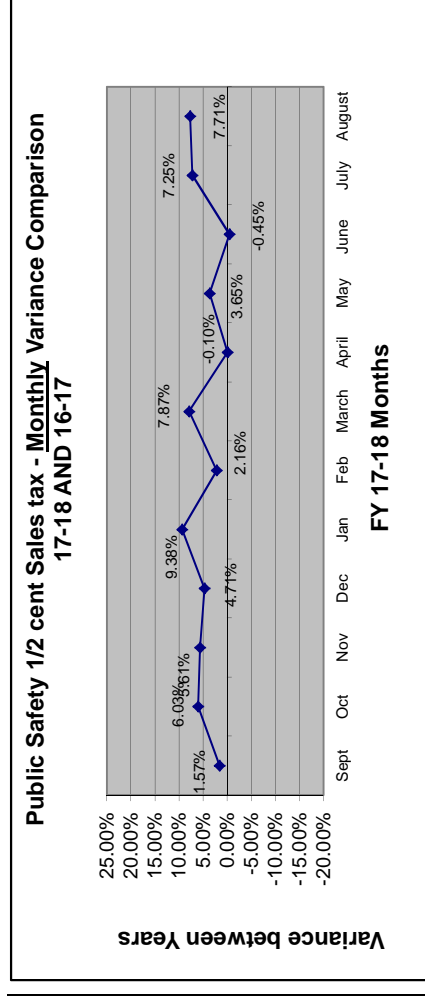
PUBLIC SAFETY SALES TAX 15-16 AND 14-15					
MONTH	2015-16	2014-15	DIFF.	% CHANGE	
Sept	0.071	229,919,875.59	204,685,609.03	25,234,266.56	12.33%
Oct	0.078	252,206,981.12	278,439,354.53	(26,232,373.41)	-9.42%
Nov	0.093	300,208,443.05	302,649,720.45	(2,441,277.40)	-0.81%
Dec	0.083	267,900,560.80	239,748,659.05	28,151,901.75	11.74%
Jan	0.073	235,763,175.05	240,961,366.92	(5,198,191.87)	-2.16%
Feb	0.110	354,691,552.22	348,989,631.91	5,701,920.31	1.63%
March	0.071	229,817,840.82	223,731,644.71	6,086,196.11	2.72%
April	0.069	222,491,276.08	223,118,563.37	(627,287.29)	-0.28%
May	0.095	305,886,568.15	311,625,759.08	(5,739,190.93)	-1.84%
June	0.081	260,725,350.68	239,312,512.22	21,412,838.46	8.95%
July	0.098	315,278,868.43	291,859,590.85	23,419,277.58	8.02%
August	0.080	258,343,816.54	269,677,758.74	(11,333,942.20)	-4.20%
TOTAL	1.000	3,233,234,308.53	3,174,800,170.86	58,434,137.67	1.84%



PUBLIC SAFETY SALES TAX 16-17 AND 15-16					
MONTH	2016-17	2015-16	DIFF.	% CHANGE	
Sept	0.077	258,011,191.88	229,919,875.59	28,091,316.29	12.22%
Oct	0.074	247,585,170.72	252,206,981.12	(4,621,810.40)	-1.83%
Nov	0.093	313,336,449.73	300,208,443.05	13,128,006.68	4.37%
Dec	0.074	249,850,370.21	267,900,560.80	(18,050,190.59)	-6.74%
Jan	0.074	247,401,500.80	235,763,175.05	11,638,325.75	4.94%
Feb	0.113	379,519,150.44	354,691,552.22	24,827,598.22	7.00%
March	0.069	232,733,882.43	229,817,840.82	2,916,041.61	1.27%
April	0.068	228,028,534.74	222,491,276.08	5,537,258.66	2.49%
May	0.097	326,175,099.03	305,886,568.15	20,288,530.88	6.63%
June	0.077	259,464,966.22	260,725,350.68	(1,260,384.46)	-0.48%
July	0.100	335,442,657.47	315,278,868.43	20,163,789.04	6.40%
August	0.084	281,088,326.84	258,343,816.54	22,744,510.30	8.80%
TOTAL	1.000	3,358,637,300.51	3,233,234,308.53	125,402,991.98	3.88%



PUBLIC SAFETY SALES TAX 17-18 AND 16-17					
MONTH	2017-18	2016-17	DIFF.	% CHANGE	
Sept	0.078	262,070,598.32	258,011,191.88	4,059,406.44	1.57%
Oct	0.078	262,502,271.09	247,585,170.72	14,917,100.37	6.03%
Nov	0.099	330,923,475.53	313,336,449.73	17,587,025.80	5.61%
Dec	0.078	261,622,332.95	249,850,370.21	11,771,962.74	4.71%
Jan	0.081	270,600,310.14	247,401,500.80	23,198,809.34	9.38%
Feb	0.115	387,721,806.85	379,519,150.44	8,202,656.41	2.16%
March	0.075	251,053,337.08	232,733,882.43	18,319,454.65	7.87%
April	0.068	227,800,519.46	228,028,534.74	(228,015.28)	-0.10%
May	0.101	338,067,394.20	326,175,099.03	11,892,295.17	3.65%
June	0.077	258,285,159.64	259,464,966.22	(1,179,806.58)	-0.45%
July	0.107	359,777,048.77	335,442,657.47	24,334,391.30	7.25%
August	0.090	302,762,009.45	281,088,326.84	21,673,682.61	7.71%
TOTAL	1.046	3,513,186,263.48	3,358,637,300.51	154,548,962.97	4.60%



from the SCO website: http://www.sco.ca.gov/ard_payments_pubsafe.html
 Prop 172 is a 1/2 cent sales tax flows monthly just like Realignment, with one exception, it flows each month based on actual State sales tax remittances and does not stop once "base" is reached.

TOTAL STATE REALIGNMENT/CMSP - ALL PROGRAMS FY 17-18 & 16-17

% of Year Complete Tax **100.0%**

SALES TAX

% of Year Complete VLF **100.0%**

Aug-18

STATE TOTAL				
MONTH	2017-18	2016-17	DIFF.	% CHANGE
September	266,234,044.96	204,920,899.43	61,313,145.53	29.92%
October	263,304,846.56	305,423,634.76	(42,118,788.20)	-13.79%
November	331,013,337.05	313,316,492.88	17,696,844.17	5.65%
December	261,652,134.25	249,980,533.09	11,671,601.16	4.67%
January	270,804,478.90	247,440,346.92	23,364,131.98	9.44%
February	387,721,477.05	379,657,301.66	8,064,175.39	2.12%
March	251,112,892.62	232,730,403.29	18,382,489.33	7.90%
April	228,713,417.83	228,210,854.86	502,562.97	0.22%
May	338,067,452.23	326,174,346.99	11,893,105.24	3.65%
June	258,219,398.71	259,465,820.88	(1,246,422.17)	-0.48%
July	355,441,553.71	334,871,422.65	20,570,131.06	6.14%
August	249,180,403.49	150,596,346.38	98,584,057.11	65.46%
growth acct	32,272,554.91	127,215,519.47	(94,942,964.56)	-74.63%
TOTAL	3,493,737,992.27	3,360,003,923.26	133,734,069.01	3.98%

VLF (excludes VLF collections)

STATE TOTAL				
MONTH	2017-18	2016-17	DIFF.	% CHANGE
August	176,365,780.36	170,204,439.03	6,161,341.33	3.62%
September	242,765,000.58	214,896,085.27	27,868,915.31	12.97%
October	155,397,143.45	161,144,971.05	(5,747,827.60)	-3.57%
November	168,769,496.52	148,896,202.22	19,873,294.30	13.35%
December	169,309,267.73	163,155,888.28	6,153,379.45	3.77%
January	161,730,614.22	151,398,735.38	10,331,878.84	6.82%
February	199,192,363.04	176,078,707.93	23,113,655.11	13.13%
March	170,572,345.90	163,354,631.32	7,217,714.58	4.42%
April	164,066,614.87	172,256,333.43	(8,189,718.56)	-4.75%
May	180,403,735.69	162,112,753.21	18,290,982.48	11.28%
June	177,177,073.32	162,668,628.30	14,508,445.02	8.92%
July	0.00			
growth acct	124,220,990.91	119,569,435.88	4,651,555.03	3.89%
TOTAL	2,089,970,426.59	1,965,736,811.30	124,233,615.29	6.32%

**1991 REALIGNMENT (SALES TAX AND VLF)
SOCIAL SERVICES
FULL FUNDING ASSERTION**

11/13/2015

IN THEORY

- * Each year State Controller's Office (SCO) publishes a base amount of realignment (equivalent to its prior year amount of realignment), plus growth for caseload, and possibly "general growth"
- * The base amount plus the growth amount becomes the next year's "Base amount"
- * These funds flow annually and are available to cover the county share of the 1991 Social Services Realignment programs

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11 ^(a)	FY 11/12 ^(b)	FY 12/13 ^(c)	FY 13/14	FY 14/15	Total
Base *	1,638,646,354	1,732,860,104	1,838,249,556	1,943,222,110	1,987,394,879	1,987,481,703	2,028,209,557	2,144,233,987	2,161,903,711	17,462,201,964
growth (caseload)**	91,543,337	105,389,452	104,972,554	44,172,769	86,824	40,727,854	102,275,815	17,669,724	51,544,437	558,382,766
growth (general)	2,670,413						13,748,615			16,419,028
Total	1,732,860,104	1,838,249,556	1,943,222,110	1,987,394,879	1,987,481,703	2,028,209,557	2,144,233,987	2,161,903,711	2,213,448,148	18,037,003,758

* note: The "base" amount in FY 06/07 (\$11.6 billion) is the actual base amount from SCO website

** note: These are the actual statewide caseload growth amounts, per CDSS and SCO

IN REALITY

- * The flow of realignment revenue (sales tax and VLF) is based on the economy and not tied directly to costs
- * Realignment has not worked over the past years and has forced counties to manage to available resources
- * The data demonstrates that it can take over five years to receive owed caseload growth
- * Even when caseload growth is paid, there is no "re-payment" for the years it was owed but not paid

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11 ^(a)	FY 11/12 ^(b)	FY 12/13 ^(c)	FY 13/14 ^(d)	FY 14/15 ^(e)	Total
Base	1,638,646,354	1,629,011,635	1,420,042,920	1,365,852,335	1,365,852,335	1,475,796,532	1,724,575,703	1,847,689,432	1,863,010,892	14,330,478,137
growth for 06/07	17,138,152				74,405,185					91,543,337
growth for 07/08					39,480,983	65,908,469				105,389,452
growth for 08/09						104,972,554				104,972,554
growth for 09/10						44,172,769				44,172,769
growth for 10/11						86,824				86,824
growth for 11/12						33,638,555	7,089,299			40,727,854
growth for 12/13							102,275,815			102,275,815
growth for 13/14								17,669,724		
growth for 14/15									51,544,437	
growth (general)	2,670,413									16,419,028
Total	1,658,454,920	1,629,011,635	1,420,042,920	1,365,852,335	1,479,738,503	1,724,575,703	1,847,689,432	1,865,359,156	1,914,555,329	14,836,065,770

Base compared to 06/07 (29,443,285) (238,412,000) (292,602,584) (178,716,417) http://www.sco.ca.gov/ard_payments_realign.html

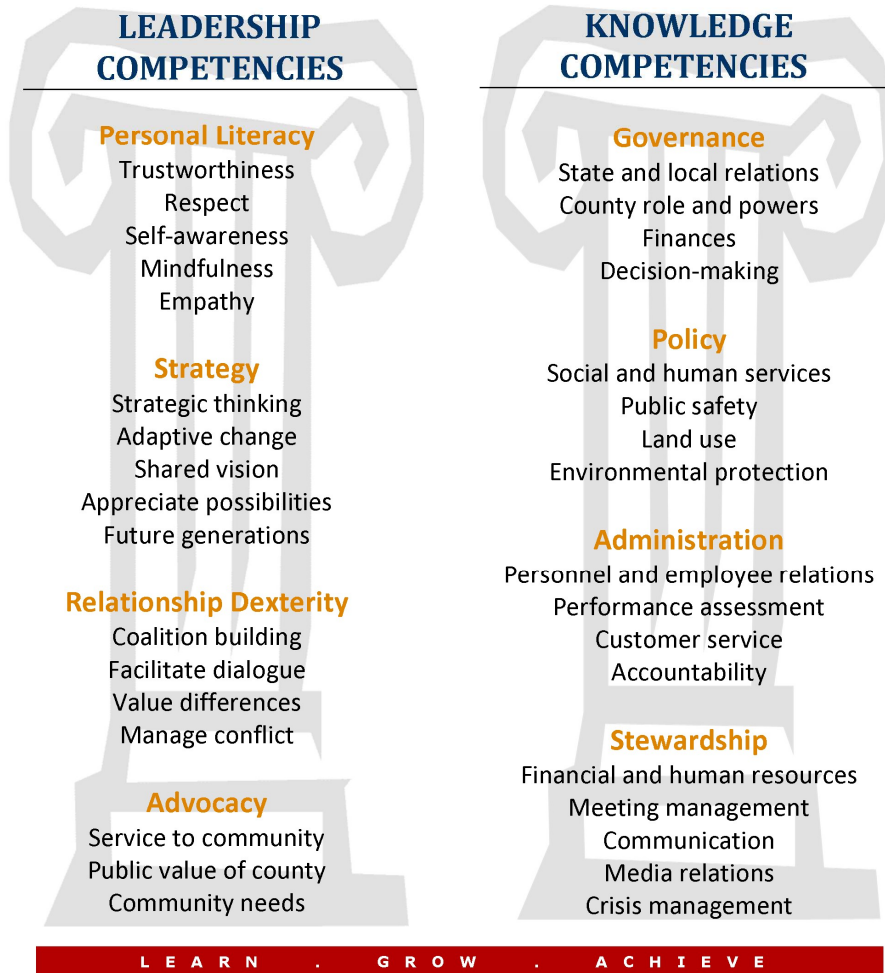
- (a) The amounts listed are from the SCO website on 1991 Realignment: http://www.sco.ca.gov/ard_payments_realign.html
- (b) The growth payments listed as received for FY 10/11, were actually paid Sept 27, 2011 (in FY 11/12)
- (c) The growth payments listed as received for FY 11/12, were actually paid 10/18/2012 (FY 12/13)
- (d) The growth payments listed as received for FY 12/13, were actually paid 11/22/2013 (FY 13/14)
- (e) The growth payment listed as received for FY 13/14, were actually paid 11/25/2014 (FY 14/15)
- (f) The growth payments listed as received for FY 14/15, were actually paid 10/09/2015 (FY 15/16)

VARIANCE BETWEEN THEORY AND REALITY

STATE	FY 06/07	FY 07/08	FY 08/09	FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14	FY 14/15	Total
Base loss/gain	0	(103,848,470)	(418,206,636)	(577,369,775)	(621,542,544)	(511,685,172)	(303,633,855)	(296,544,556)	(298,892,820)	(3,131,723,827)
Growth	(74,405,185)	(105,389,452)	(104,972,554)	(44,172,769)	113,799,344	208,051,317	7,089,299	0	0	(0)
Variance	(74,405,185)	(209,237,922)	(523,179,190)	(621,542,544)	(507,743,200)	(303,633,855)	(296,544,556)	(296,544,556)	(298,892,820)	(3,131,723,827)



Capacities of effective county elected officials and senior executives



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The **California State Association of Counties (CSAC)** is the voice of California’s 58 counties at the state and federal level. The Association’s long-term objective is to significantly improve the fiscal health of all California counties – from Alpine County with a little more than 1,200 people to Los Angeles County with more than 10 million – so they can adequately meet the demand for vital public programs and services. CSAC also places a strong emphasis on educating the public about the value and need for county programs and services.

The **CSAC Institute for Excellence in County Government** is a professional, practical continuing education program for county officials operated by the California Counties Foundation, a 501(c)(3) charity, on behalf of CSAC. The Institute is designed to expand the capacity and capability of county elected officials, senior executives and managers to provide extraordinary services to their communities. Nearly 5,000 county officials and senior staff have participated in classes since the Institute was established in 2008.

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